



CJIS Division Information Technology

National Use-of-Force Data Collection

Flat File and Bulk Load Technical Specification

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1 BACKGROUND

This *National Use-of-Force Data Collection (UoF) Flat File and Bulk Load Technical Specification* has been developed by the Federal Bureau of Investigation (FBI).

Police-involved shootings and use of force have long been topics of national discussion. The opportunity to analyze information concerning use-of-force incidents and to have an informed dialogue is hindered by the lack of nationwide statistics. To address the issue, representatives from major law enforcement organizations have been working in collaboration with the FBI to develop a National Use-of-Force Data Collection.

With a national data collection, data users can view use-of-force incidents involving law enforcement from a nationwide perspective. The goal of the resulting statistics is not to offer insight into individual use-of-force incidents, but to provide an aggregate view of the incidents reported and the officers, subjects, and circumstances surrounding the incidents. The data collected will focus on information that is readily known and can be reported within the first few days after a use-of-force occurrence. Statistical reports will emphasize the collective nature of the data and will not assess whether the officers involved in use-of-force incidents acted lawfully or within the bounds of department policy.

Three types of use-of-force events and information related to each event will be collected. These events include:

- When a fatality occurs connected to use of force by a law enforcement officer.
- When there is serious bodily injury to a person connected to use of force by a law enforcement officer.
- When a firearm is discharged by law enforcement at or in a direction of a person that did not otherwise result in death or serious bodily injury

The definition of *serious bodily injury* will be based, in part, on 18 United States Code (USC) Section 2246 (4), to mean “bodily injury that involves a substantial risk of death, unconsciousness, protracted and obvious disfigurement, or protracted loss or impairment of the function of a bodily member, organ, or mental faculty.”

2 SERVICE MESSAGES

The flat file service messages are submitted to the FBI using the JSON record format. A service message is composed of a message timestamp, action and either the Use-of-Force Incident or the Zero Report record. Both Incident and Zero Report records are distinct.

The Use-of-Force Incident is used when an agency is reporting or updating a use-of-force incident.

The Zero Report is used when an agency is reporting that no Use-of-Force Incidents occurred for a particular month and year.

The flat file service message requires an Action, Action Time, and a Payload of the appropriate Content Type. These data elements and values will be defined in the service message section for “Incident” and “Zero Report”.

The submission of a new Incident Report or an Update of an Incident Report requires the incident details, officer information and subject information. See Sections 3.2.2, 3.2.3 and 3.2.4

The submission of a Zero Report requires agency ORI and the month and year. See Section 3.2.5.

The Use-of-Force Incident requires a date and time of the incident, the agency case number, and agency ORI. The Use-of-Force Incident requires at least one Officer and one Subject (see Sections 3.2.2 – 3.2.4). The data elements for the incident, officer(s) and subject(s) have values to handle information which is unknown or pending further investigation at time of submission. The data elements for incident details, officer and subject shall be populated with the defined values for each data element.

Each employing law enforcement agency will be responsible for reporting information for its own officers connected to incidents that meet the criteria of the data collection. A reportable use-of-force incident shall meet at a minimum one of the criteria.

The criteria are:

- When a fatality occurs connected to use of force by a law enforcement officer.
- When there is serious bodily injury to a person connected to use of force by a law enforcement officer.
- When a firearm is discharged by law enforcement at or in a direction of a person that did not otherwise result in death or serious bodily injury.

2.1 JSON Data Elements

2.1.1 Incident Reports

An Incident Report meets at a minimum one of the criteria of: When a fatality occurs connected to use of force by a law enforcement officer; When there is serious bodily injury to a person connected to use of force by a law enforcement officer; When a firearm is discharged by law enforcement at or in a direction of a person that did not otherwise result in death or serious bodily injury.

Incident data for Incident Reports with an “Add” action type will be added to the database and be updated with submitted data in the UoF database if the incident already exists. The system uses three data elements within the Incident for comparison against the database to determine if a record already exists. The data elements are agency ORI, incident date and agency case number.

Incidents with a “Remove” action type will be deleted from the system. The remove message requires the three data elements within the Incident for comparison against the database to determine if a record already exists. The data elements are agency ORI, incident date and agency case number. If the record exists, it is functionally deleted from the system. This means that the incident data is marked as deleted but not actually removed from the database. It creates an audit trail of changes made.

- Incident Segment - There are thirty-four (34) data elements within this segment, which help to supply the specifics of an incident. This segment contains the Subject segment and the Officer Segment.
- Subject Segment - There are twenty-five (25) data elements within this segment, which supplies the specific biographic and characteristics on the subject involved in the incident. This segment is provided within the Incident Segment.
- Officer Segment - There are twenty (20) data elements within this segment, which provide biographic and characteristic data on the officer who responded to the incident. This segment is provided within the Incident Segment.

2.1.2 Zero Reports

The Zero Report is used when an agency is reporting that no Use-of-Force incidents occurred for a particular month and year.

A Zero Report with an “Add” action type will be added to the UoF database if the record does not already exist. A Zero Report with a “Remove” action type will cause the system to functionally delete the existing Zero Report from the database. There are two (2) data elements within this segment to supply the specifics of the Zero Report.

2.2 Incident Report Service Message

Each Incident Report will contain three (3) data segments of the following types (Incident, Subject, and Officer). Each segment type consists of a number of data elements. The segments are briefly described and summarized in sections 2.2.1.1, 2.2.1.2 and 2.2.1.3.

The three service message data elements below are mandatory for all submissions.

Data Element 1 – Action

Data Element 2 – ActionTime

Data Element 3 – Payload Content

- Payload Content is the JSON object for an Incident. It will not use a “Payload” identifier, but rather use an “Incident” field identifier to describe the appropriate content.

2.2.1 Incident Flat File Layout (Segments Incident, Subject, Officer)

```
{ "Action": "Add",
  "ActionTime": "02/14/2016 12:33:23",
  "Incident": { "total number other agencies": 1, "agency ori": "TORI01201",
    "agency_case_number": "jdb003", "incident_date": "01/09/2016",
    "total_officers_involved": 2, "total_number_subjects": 1, "total_number_other_agencies": 1,
    "agency_officers_involved": 1, "nibrs_incident_number": "", "did_officer_approach": "YES",
    "ambushed": "NO", "senior_officer_present": "NO", "address 1": "Street address description 1",
    "address 2": "", "address city": "", "address state id": "PA", "address_zip": 15445,
    "address_latitude": null, "address_longitude": null, "address_pu_ids": [],
    "other_agencies_involved": [ { "agency_case_number": "jdb1234", "agency ori": "TORI01202" } ],
    "reporting_agency_ori": "TORI01201", "incident time hours": 11, "incident time minutes": 22,
    "location type": 03, "offense1": "23H", "offense2": "90J", "offense3": null, "initial contact id": "CRIMINAL_SUSPICIOUS_ACTIVITY",
    "nibrs_incident_number_pu_ids": [ "PENDING" ], "offense_pu_ids": [ "PENDING" ], "total_officers_involved_pu_ids": [],
    "total number subjects pu ids": [], "agency officers involved pu ids": [], "incident tickler ids": [ "INJURY" ],
    "subjects": [ { "subject id": 1, "age1": "22", "age2": 25, "age estimated": true, "height estimated": true,
      "weight1": 155, "weight2": null, "weight_estimated": true, "armed": "YES", "impairment": "YES", "gender": "M",
      "resisted": "YES", "impairment_type_ids": [ "ALCOHOL", "MENTAL" ] },
```

```

"resistance_type_ids": [ "AGGRESSION", "VEHICLE", "ESCAPE_FLEE "],
"injury_type_ids": [ "OTHER_MAJOR_INJURY", "LOSS_OF_TEETH", "GUNSHOT"
], "race_ethnicity_ids": [ "H", "W" ], "threat directed at": "OFFICER", "force type ids":
[ "FIREARM" ], "height1 feet": 5, "height1 inch": 9, "height2 feet": 5, "height2 inch":
11, "weight_pu_ids": [], "height_pu_ids": [], "age_pu_ids": [] } ], "officers": [ { "age": 29,
"weight": 195, "officer_id": 1, "nibrs_incident_number": "", "years_of_service": 8,
"gender": "M", "age_pu_ids": [], "height_pu_ids": [], "weight_pu_ids": [],
"years of service pu ids": [], "full part time": "YES", "officer identifiable": "YES",
"on duty": "YES", "shots fired": "YES", "officer injured": "YES", "injury type ids": [
"POSSIBLE_INTERNAL_INJURY", "SEVERE_LACERATION" ],
"race_ethnicity_ids": [ "H", "B" ], "nibrs_incident_number_pu_ids": [ "PENDING" ],
"height_feet": 6, "height_inch": 0 } ] }
}

```

Figure 2-1: Incident Flat File Layout

2.2.1.1 Incident Segment

The Incident Segment is the master segment and is used to provide the incident information for the Use-of-Force Incident. Also, the Incident Segment contains the subject and officer segments. Data elements that allow multiple entries must have comma's (",") separating each value and be within the beginning and ending bracket and squiggly brackets [{ <value>,<value>,<value>}].

The data elements used in the Incident Segment are:

Data Element I1 – agency_ori

Data Element I2 – agency_case_number

Data Element I3 – incident_date

Data Element I4 – reporting_agency_ori

Data Element I5 – incident_time_hours

Data Element I6 – incident_time_minutes

Data Element I7 – location_type

Data Element I8 – initial_contact_id

Data Element I9 – total_officers_involved

Data Element I10 – other_agencies_involved

- Includes entries containing agency_ori (Data Element I1), and agency_case_number (Data Element I2) for each other agency involved.

Data Element I11 – total_number_other_agencies

Data Element I12 – ambushed

Data Element I13 – did_officer_approach

Data Element I14 – senior_officer_present

Data Element I15 – offense1

- Only required if Data Element I8 is CRIMINAL_SUSPICIOUS_ACTIVITY

Data Element I16 – offense2

- Only required if Data Element I8 is CRIMINAL_SUSPICIOUS_ACTIVITY

Data Element I17 – offense3

- Only required if Data Element I8 is CRIMINAL_SUSPICIOUS_ACTIVITY

Data Element I18 – address_1

Data Element I19 – address_2

Data Element I20 – address_city

Data Element I21 – address_state_id

Data Element I22 – address_zip

Data Element I23 – address_latitude

Data Element I24 – address_longitude

Data Element I25 – nibrs_incident_number

- Only required if Data Element I8 is CRIMINAL_SUSPICIOUS_ACTIVITY

Data Element I26 – nibrs_incident_number_pu_ids

- Only required if Data Element I8 is CRIMINAL_SUSPICIOUS_ACTIVITY
 - Only required if the NIBRS Incident number for the incident is unknown or pending investigation

Data Element I27 – offense_pu_ids

- Only required if offenses committed by subject for incident is unknown or pending investigation

Data Element I28 – total_officers_involved_pu_ids

- Only required if number of officers involved with the incident is unknown or pending investigation

Data Element I29 – total_number_subjects_pu_ids

- Only required if number of subjects involved with the incident is unknown or pending investigation

Data Element I30– agency_officers_involved

- Number must match the number of Officer segments provided

Data Element I31 – address_pu_ids

- Only required if address or lat/long for incident is unknown or pending investigation

Data Element I32 – incident_tickler_ids

Data Element I33 – total_number_subjects

Data Element I34 – agency_officers_involved_pu_ids

2.2.1.2 Subject Segment

The Subject Segment is used to provide biographic and characteristics of the subject(s) at the time of the incident. Data elements that allow multiple entries must have comma's (",") separating each value and be within the beginning and ending bracket and squiggly brackets [{<value>,<value>,<value>}].

The data elements used in the Subject Segment are:

Data Element S1 – subject_id

- This element should be the first data element defined for each Subject

Data Element S2 – race_ethnicity_ids

Data Element S3 - gender

Data Element S4 – age1

- Required for all subjects when age is not unknown or pending investigation

Data Element S5 – age2

- Only required when providing a subject age range (maximum age value)

Data Element S6 – age_estimated

Data Element S7 – age_pu_ids

- Only required if age of subject is unknown or pending investigation

Data Element S8 – height1_feet

Data Element S9 – height1_inch

Data Element S10 – height2_feet

- Only required when providing a subject height range (maximum height feet value)

Data Element S11 – height2_inch

- Only required when providing a subject height range (maximum height inches value)

Data Element S12 – height_pu_ids

- Only required if height of subject is unknown or pending investigation

Data Element S13 – height_estimated

Data Element S14– weight1

Data Element S15 – weight2

- Only required when providing a subject weight range (maximum weight value)

Data Element S16– weight_pu_ids

- Only required if weight of subject is unknown or pending investigation

Data Element S17 – weight_estimated

Data Element S18– impairment

Data Element S19– impairment_type_ids

- Only required if Data Element S18 is “Yes”

Data Element S20– resisted

Data Element S21– resistance_type_ids

- Only required if Data Element S20 is “Yes”

Data Element S22 - threat_directed_at

Data Element S23 – armed

Data Element S24 – force_type_ids

Data Element S25– injury_type_ids

2.2.1.3 Officer Segment

The Officer Segment is used to provide biographic and characteristics of the officer(s) involved at the time of the incident. Data elements that allow multiple entries must have comma’s (“,”) separating each value and be within the beginning and ending bracket and squiggly brackets [{<value>,<value>,<value>}].

The data elements used in the Officer segment are:

Data Element O1 – officer_id

- This element should be the first data element defined for each Officer

Data Element O2 – race_ethnicity_ids

- Race and ethnicity is a combined data element. Multiple values can be provided

Data Element O3 – gender

Data Element O4 – age

Data Element O5 – age_pu_ids

Data Element O6 – height_feet

Data Element O7 – height_inch

Data Element O8 – height_pu_ids

- Only required if height of officer is unknown or pending investigation

Data Element O9 - weight

Data Element O10 – weight_pu_ids

- Only required if weight of officer is unknown or pending investigation

Data Element O11 – years_of_service

Data Element O12 – years_of_service_pu_ids

- Only required if years of service for officer is unknown or pending investigation

Data Element O13 – full_part_time

Data Element O14 – officer_identifiable

Data Element O15 – on_duty

Data Element O16 – officer_injured

Data Element O17 – injury_type_ids

- Only required when an officer is injured or killed during the incident

Data Element O18 – nibrs_incident_number

- Only required when an officer is injured or killed during the incident

Data Element O19 – nibrs_incident_number_pu_ids

- Only required when an officer is injured or killed during the incident and the NIBRS report number is unknown or pending investigation

Data Element O20 – shots_fired

- Only required when an officer discharges a firearm

2.3 Zero Report Service Message

Each Zero Report will contain one (1) data segment containing five (5) data elements.

2.3.1 Zero Report Flat File (JSON) Layout

The three (3) service message data elements below are mandatory for all submissions.

Data Element 1 – Action

Data Element 2 – ActionTime

Data Element 3 – Payload Content

- Payload Content is the JSON object for a Zero Report. It will not use a “Payload” identifier, but rather use a “ZeroReport” field identifier to describe the appropriate content.

```
{
  "Action": "Add",
  "ActionTime": "12/16/2016 12:33:23",
  "ZeroReport": { "agency_ori": "TORI01203", "month_year": "11/2016" }
}
```

Figure 2-2: Zero Report Flat File Layout (Add)

2.3.1.1 ZeroReport Segment

The ZeroReport segment is used to provide agencies the mechanism to report when no use-of-force incidents occurred for a particular month and year.

The data elements for ZeroReport are:

Data Element Z1 – agency_ori

Data Element Z2 – month_year

3 DATA ELEMENT DEFINITIONS

The data elements and data values identified in this section represent those required for participating in the UoF program. Contributors must use these data elements and data values to report.

The FBI encourages participating agencies to include additional data elements and values in their own Use-of-Force Reporting systems to satisfy their own informational needs. However, agencies shall report to the FBI only those data elements and data values that are listed in this section.

3.1 Data Elements

Contributors must use a series of established data elements, i.e., data fields, within each segment (Incident, Subject, and Officer) of the Incident Report to describe the details of each component of an incident. Examples of these details include Data Element I2 (agency_case_number), Data Element I3 (incident_date), and Data Element I7 (location_type).

For each data element, reporting agencies should choose the most appropriate data value(s), i.e., specific characteristics or types of the field being reported that have assigned codes. Although some data values require a specific format, most are codes from an approved list, each followed by an equal sign (=) and a description of the data value.

For example, for Data Element I3 (incident_date), the specific format for the data value is MM/DD/YYYY. For Data Element S3 (gender), agencies may enter one of the codes M = Male, F = Female, PENDING = Pending further investigation, or UNKNOWN = Unknown/Not reported to describe the gender of the subject and officer.

3.2 Data Element Descriptions

The data elements identified in the subsequent sections are grouped by the type of data they represent, e.g., incident data, subject data, officer data. Some data elements overlap and are present throughout the various segments.

The data elements are presented within the segments where they can be used. Some data elements are presented in more than one segment.

Each data element has a short description to indicate how and when to use the data element. This description also includes the format and ranges for the data element. Each data element also has the following information defined:

Occurrence: This identifies how many times the data element occurs in a segment or incident.

Mandatory: Some data elements are required in order to have a complete/valid data submission, i.e., they are *mandatory*. Others are *conditional* based on the data values submitted for other data elements. Data elements that are reported at the discretion of the agency submitting the incident are considered *optional*.

Maximum Number of Values: Some array type fields may contain a limited number of values. If specified, the number of values may not exceed this value.

Example Construction: This provides an example of the entry in the JSON file for this data element.

Data Values: This identifies the specific data values that are allowed in completing the data element. There are two types of values (1.) Entered free hand, and (2.) Selected from a list of values (LOV).

Note that the position and order of data element in a JSON record are not significant and are not a requirement, however Subject Id and Officer Id should be the first elements of their applicable segments. Data element names are identified by enclosing it within double quotes followed by a colon.

3.2.1 Flat File Service Message Data Elements

The flat file service message has three data elements. Every message provided must have the values populated.

The flat file service message is constructed with an opening squiggly bracket “{” and closed with a closing squiggly bracket “}”.

Example Construction: {}

3.2.1.1 Data Element 1 Action

Action is used to indicate the action type to occur for the Service Message.

Occurrence: Once

Mandatory: Yes

Example Construction: “Action”: “Add”

Data Values:

Add = indicates a new report or update to an existing report

Remove = indicates an incident report requires deletion

3.2.1.2 Data Element 2 ActionTime

ActionTime is used to indicate the creation date and time for the Service Message. This date must not occur before the Incident date and time.

Occurrence: Once

Mandatory: Yes

Example Construction: “ActionTime”: ”01/15/2017 22:15:01”

The data element values must be constructed in the following format for date and time.

MM/DD/YYYY hh:mm:ss

For example: 01/15/2017 22:15:01

3.2.1.3 Data Element 3 Payload Content

This data element indicates the type of report requiring action in the Use-of-Force system and is the only field in the file which does not include a label.

Occurrence: Once

Mandatory: Yes

Data Values:

Incident: = provided when a Use-of-Force Incident requires action. This action includes new records, updates to records or deletion of records

ZeroReport: = provided when there are no Use-of-Force Incidents to report for a specific month and year.

3.2.2 Incident Segment

The Incident Segment of the Service Message has thirty-four (34) data elements. The Incident Segment also contains the subject and officer segments. The following data elements the contributors enter as free text or from the list of values. They are specific to the incident segment.

The Incident segment is used to provide data for the Use-of-Force Incident.

Occurrence: Once

Mandatory: Yes

Example Construction: "Incident": { },

- The opened and closed squiggly bracket represents a unique incident and its data elements and data values for the incident, subject(s) and officer(s). The Incident data elements and values are defined in the following text.

3.2.2.1 Data Element I1 agency_ori

agency_ori is used to indicate the Incident owning agency Originating Agency Identifier. The ORI must be a valid UCR ORI. The value is an alphanumeric ORI of nine (9) characters in length.

Occurrence: Once

Mandatory: Yes

Example Construction: "agency_ori": "WV3456700"

3.2.2.2 Data Element I2 agency_case_number

agency_case_number is used to indicate the agencies case number for the use-of-force incident. The UoF system supports an alphanumeric value. A hyphen (-) is also allowed in the value. The maximum length supported is twenty characters.

Occurrence: Once

Mandatory: Yes

Example Construction: “agency_case_number”: “casenumber1”

3.2.2.3 Data Element I3 incident_date

incident_date is used to indicate the date the use-of-force incident occurred. The value is a date in the format of MM/DD/YYYY. incident_date must not be more recent than the current date.

Occurrence: Once

Mandatory: Yes

Example Construction: “incident_Date”: “01/15/2017”

3.2.2.4 Data Element I4 reporting_agency_ori

reporting_agency_ori is used to indicate the ORI for the agency reporting the Use-of-Force Incident to the FBI. The ORI must be a valid UCR ORI. The value is an alphanumeric ORI of nine characters in length. If the owning agency is reporting the incident, the reporting_agency_ori should be the same as the agency_ori

Occurrence: Once

Mandatory: Yes

Example Construction: “reporting_agency_ori”: “WV1234500”

3.2.2.5 Data Element I5 incident_time_hours

incident_time_hours is used to indicate the hour of time reflecting the best estimate of when the interaction between law enforcement and the subject occurred. It is in the twenty-four hour clock format. The value is a numeric from 0 – 23.

Occurrence: Once

Mandatory: Yes

Example Construction: “incident_time_hours”: 23

3.2.2.6 Data Element I6 incident_time_minutes

Incident_time_minutes is used to indicate the minute of time reflecting the best estimate of when the interaction between law enforcement and the subject occurred.. The value is a numeric from 0 – 59.

Occurrence: Once

Mandatory: Yes

Example Construction: “incident_time_minutes”: 58

3.2.2.7 Data Element I7 location_type

location_type is used to indicate the type of location/premises where the use-of-force incident took place. Only one location type can be entered.

Occurrence: Once

Mandatory: Yes

Example Construction: "location_type": "01"

Data Values:

- 01 = Air/Bus/Train Terminal
- 02 = Bank/Savings and Loan
- 03 = Bar/Nightclub
- 04 = Church/Synagogue/Temple/Mosque
- 05 = Commercial/Office Building
- 06 = Construction Site
- 07 = Convenience Store
- 08 = Department/Discount Store
- 09 = Drug Store/Doctor's Office/Hospital
- 10 = Field/Woods
- 11 = Government/Public Building
- 12 = Grocery/Supermarket
- 13 = Highway/Road/Alley/Street/Sidewalk
- 14 = Hotel/Motel/Etc.
- 15 = Jail/Prison/Penitentiary/Corrections Facility
- 16 = Lake/Waterway/Beach
- 17 = Liquor Store
- 18 = Parking/Drop Lot/Garage
- 19 = Rental Storage Facility
- 20 = Residence/Home
- 21 = Restaurant

- 23 = Service/Gas Station
- 24 = Specialty Store
- OTHER = Other
- 37 = Abandoned/Condemned Structure
- 38 = Amusement Park
- 39 = Arena/Stadium/Fairgrounds/Coliseum
- 40 = ATM (Automated Teller Machine) Separate from Bank
- 41 = Auto Dealership New/Used
- 42 = Camp/Campground
- 44 = Daycare Facility
- 45 = Dock/Wharf/Freight/Modal Terminal
- 46 = Farm Facility
- 47 = Gambling Facility/Casino/Race Track
- 48 = Industrial Site
- 49 = Military Installation
- 50 = Park/Playground
- 51 = Rest Area
- 52 = School-College/University
- 53 = School-Elementary/Secondary
- 54 = Shelter-Mission/Homeless
- 55 = Shopping Mall

56 = Tribal Lands
57 = Community Center
PENDING = Pending further investigation
UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.8 Data Element I8 initial_contact_id

initial_contact_id is used to indicate the reason behind the initial contact between the Law Enforcement Officer and the subject.

Occurrence: Once

Mandatory: Yes

Example Construction: "initial_contact_id": "COURT_ORDER"

Data Values:

COURT_ORDER = Service of a court order

CRIMINAL_SUSPICIOUS_ACTIVITY = Response to unlawful or suspicious activity

DEMONSTRATION = Mass Demonstration

FOLLOWUP = Follow up investigation

MEDICAL = Medical, mental health, or welfare assistance

OTHER = Other

PENDING = Pending further investigation

ROUTINE_PATROL = Routine patrol other than traffic stop

TRAFFIC_STOP = Traffic Stop

UNKNOWN = Unknown and is unlikely to ever be known

WARRANT = Warrant Service

3.2.2.9 Data Element I9 total_officers_involved

total_officers_involved is used to indicate the total number of officers from all responding agencies who used force. Data value is a numeric with a minimum value of one.

Occurrence: Once

Mandatory: Yes

Example Construction: "total_officers_involved": 3

3.2.2.10 Data Element I10 other_agencies_involved

If total_number_other_agencies (Data Element I11) is greater than zero (0), then other_agencies_involved is included with an array of agency_case_number (Data Element I2), agency_ori (Data Element I1) elements. The number of agency_case_number, agency_ori element records should match the value of total_number_other_agencies.

Occurrence: Once

Mandatory: Yes

Example Construction: “other_agencies_involved”: [{ "agency_case_number": "jdb1234", "agency_ori": "TORI01202" }]

3.2.2.11 Data Element I11 total_number_other_agencies

total_number_other_agencies is used to indicate total number of other responding agencies whose officer(s) used force. Value is an integer from 0-n

Occurrence: Once

Mandatory: Conditional

Example Construction: “total_number_other_agencies”: 1

3.2.2.12 Data Element I12 ambushed

ambushed is used to indicate if the incident involved an ambush of a law enforcement official.

Occurrence: Once

Mandatory: Yes

Example Construction: “ambushed”: “PENDING”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.13 Data Element I13 did_officer_approach

did_officer_approach is used to indicate if the law enforcement official approached the subject

Occurrence: Once

Mandatory: Yes:

Example Construction: “did_officer_approach”: “NO”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.14 Data Element I14 senior_officer_present

senior_officer_present is used to indicate if a supervisor or senior officer acting in a similar capacity was present or consulted prior to when force was used during the incident.

Occurrence: Once

Mandatory: Yes

Example Construction: “senior_officer_present”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.15 Data Element I15 offense1

If the use-of-force was in response to report or observation of “unlawful or suspicious activity”, the most serious observed offenses committed by the subject(s) prior to or at the time of the incident are indicated in offense1 (Data Element I15), offense2 (Data Element I16) and offense3 (Data Element I17). The codes used are the offense designations as specified by FBI's Uniform Crime Reporting (UCR) program.

Occurrence: Once

Mandatory: Conditional

Example Construction: “offense1”: “11A”

Data Values:

09A = Homicide Offenses - Murder & Nonnegligent Manslaughter

09B = Homicide Offenses - Negligent Manslaughter

09C = Homicide Offenses - Justifiable Homicide

100 = Kidnapping/Abduction

11A = Sex Offenses - Rape

11B = Sex Offenses - Sodomy

11C = Sex Offenses - Sexual Assault With An Object

11D = Sex Offenses - Fondling

120 = Robbery

13A = Assault Offenses - Aggravated Assault

13B = Assault Offenses - Simple Assault

13C = Assault Offenses - Intimidation

200 = Arson

210 = Extortion/Blackmail

220 = Burglary/Breaking & Entering

23A = Larceny/Theft Offenses - Pocket-picking

23B = Larceny/Theft Offenses - Purse-snatching

23C = Larceny/Theft Offenses - Shoplifting
23D = Larceny/Theft Offenses - Theft From Building
23E = Larceny/Theft Offenses - Theft From Coin-Operated Machine or Device
23F = Larceny/Theft Offenses - Theft From Motor Vehicle
23G = Larceny/Theft Offenses - Theft of Motor Vehicle Parts or Accessories
23H = Larceny/Theft Offenses - All Other Larceny
240 = Motor Vehicle Theft
250 = Counterfeiting/Forgery
26A = Fraud Offenses - False Pretenses/Swindle/Confidence Game
26B = Fraud Offenses - Credit Card/Automated Teller Machine Fraud
26C = Fraud Offenses - Impersonation
26D = Fraud Offenses - Welfare Fraud
26E = Fraud Offenses - Wire Fraud
26F = Fraud Offenses - Identity Theft
26G = Fraud Offenses - Hacking/Computer Invasion
270 = Embezzlement
280 = Stolen Property Offenses
290 = Destruction/Damage/Vandalism of Property
35A = Drug/Narcotic Violations
35B = Drug Equipment Violations
36A = Sex Offenses - Incest
36B = Sex Offenses - Statutory Rape
370 = Pornography/Obscene Material
39A = Gambling Offenses - Betting/Wagering
39B = Gambling Offenses - Operating/Promoting/Assisting Gambling
39C = Gambling Offenses - Gambling Equipment Violation
39D = Gambling Offenses - Sports Tampering
40A = Prostitution Offenses - Prostitution
40B = Prostitution Offenses - Assisting or Promoting Prostitution
40C = Prostitution Offenses - Purchasing Prostitution
510 = Bribery
520 = Weapon Law Violations
64A = Human Trafficking, Commercial Sex Acts

64B = Human Trafficking, Involuntary Servitude

720 = Animal Cruelty

90A = Bad Checks

90B = Curfew/Loitering/Vagrancy Violations

90C = Disorderly Conduct

90D = Driving Under the Influence

90E = Drunkenness

90F = Family Offenses, Nonviolent

90G = Liquor Law Violations

90H = Peeping Tom

90I = Runaway

90J = Trespass of Real Property

90Z = All Other Offenses

3.2.2.16 Data Element I16 offense2

If the use-of-force was in response to report or observation of “unlawful or suspicious activity”, the most serious observed offenses committed by the subject(s) prior to or at the time of the incident are indicated in offense1 (Data Element I15), offense2 (Data Element I16) and offense3 (Data Element I17). The codes used are the offense designations as specified by FBI's Uniform Crime Reporting (UCR) program.

Reference Data Values provided above in Data Element I15 offense1.

Occurrence: Once

Mandatory: Conditional/Optional

Example Construction: “offense2”: “13A”

3.2.2.17 Data Element I17 offense3

If the use-of-force was in response to report or observation of “unlawful or suspicious activity”, the most serious observed offenses committed by the subject(s) prior to or at the time of the incident are indicated in offense1 (Data Element I15), offense2 (Data Element I16) and offense3 (Data Element I17). The codes used are the offense designations as specified by FBI's Uniform Crime Reporting (UCR) program.

Reference Data Values provided above in Data Element I15 offense 1.

Occurrence: Once

Mandatory: Conditional/Optional

Example Construction: “offense3”: “120”

3.2.2.18 Data Element I18 address_1

address_1 is used to provide the street address of the use-of-force incident. It is a text string. Allowable special characters are comma, period, number sign, ampersand, apostrophe, space and hyphen (,.#&' -).

Occurrence: Once

Mandatory: Conditional

Example Construction: “address_1”: “123 Main Street NE”

3.2.2.19 Data Element I19 address_2

address_2 is an optional data element. It would be used when capturing additional address information for the incident. It is a text string. Allowable special characters are comma, period, number sign, ampersand, apostrophe, space and hyphen (,.#&' -).

Occurrence: Once

Mandatory: Optional

Example Construction: “address_2”: “Building 3 Apt 2”

3.2.2.20 Data Element I20 address_city

address_city is used to report the city where the incident occurred. It is a text string.

Occurrence: Once

Mandatory: Conditional

Example Construction: “address_city”: “Mytown”

3.2.2.21 Data Element I21 address_state_id

address_state_id is used to report the State where the incident occurred. Allowable special characters are comma, period, number sign, ampersand, apostrophe, space and hyphen (,.#&' -).

Occurrence: Once

Mandatory: Conditional

Example Construction: “address_state”: “AK”

Data Values:

AA = Armed Forces Americas (except Canada)

AE = Armed Forces Africa, Canada, Europe, Middle East

AK = Alaska

AL = Alabama

AP = Armed Forces Pacific

AR = Arkansas

AS = AMERICAN SAMOA

AZ = Arizona
CA = California
CO = Colorado
CT = Connecticut
DC = District of Columbia
DE = Delaware
FL = Florida
FM = FEDERATED STATES OF MICRONESIA
GA = Georgia
GU = Guam
HI = Hawaii
IA = Iowa
ID = Idaho
IL = Illinois
IN = Indiana
KS = Kansas
KY = Kentucky
LA = Louisiana
MA = Massachusetts
MD = Maryland
ME = Maine
MH = MARSHALL ISLANDS
MI = Michigan
MN = Minnesota
MO = Missouri
MP = NORTHERN MARIANA ISLANDS
MS = Mississippi
MT = Montana
NC = North Carolina
ND = North Dakota
NE = Nebraska
NH = New Hampshire
NJ = New Jersey

NM = New Mexico
NV = Nevada
NY = New York
OH = Ohio
OK = Oklahoma
OR = Oregon
PA = Pennsylvania
PR = PUERTO RICO
PW = PALAU
RI = Rhode Island
SC = South Carolina
SD = South Dakota
TN = Tennessee
TX = Texas
UT = Utah
VA = Virginia
VI = Virgin Islands
VT = Vermont
WA = Washington
WI = Wisconsin
WV = West Virginia
WY = Wyoming

3.2.2.22 Data Element I22 address_zip

address_zip is used to report the zip code of where the incident occurred. The data element is a numeric of five digits in length.

Occurrence: Once

Mandatory: Conditional

Example Construction: “address_zip”: 12345

3.2.2.23 Data Element I23 address_latitude

address_latitude is used to report the latitude in the NAD83 coordinate system of the address where the incident occurred. The data element is a decimal with 4 places after the decimal point. It can start with an optional negative sign and have 1 to 3 values before the decimal point.

Occurrence: Once

Mandatory: Optional

Example Construction: “address_latitude”: 47.6595

3.2.2.24 Data Element I24 address_longitude

address_longitude is used to report the longitude in the NAD83 coordinate system of the address where the incident occurred. The data element is a decimal with 4 places after the decimal point. It can start with an optional negative sign and have 1 to 3 values before the decimal point.

Occurrence: Once

Mandatory: Optional

Example Construction: “address_longitude”: -122.3341

3.2.2.25 Data Element I25 nibrs_incident_number

nibrs_incident_number is the NIBRS incident associated to the UCR Offense Codes provided in Data Elements I15, I16 and I17.

Occurrence: Once

Mandatory: Conditional

Example Construction: “nibrs_incident_number”: “myagencycase1”

3.2.2.26 Data Element I26 nibrs_incident_number_pu_ids

nibrs_incident_number_pu_ids is used to indicate the NIBRS incident number for the incident is pending or unknown. This data element is provided when a NIBRS Offense code is provided (Data Element I15, I16, I17 NIBRS Offense) and the NIBRS incident is pending or unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “nibrs_incident_number_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown/Not reported

3.2.2.27 Data Element I27 offense_pu_ids

offense_pu_ids is used to indicate the NIBRS Offenses for the incident is Pending or Unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “offense_pu_ids”: [“UNKNOWN”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown/Not Reported

3.2.2.28 Data Element I28 total_officers_involved_pu_ids

total_officers_involved_pu_s is used to indicate when the number of officers for the incident is Pending or Unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “total_officers_involved_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.29 Data Element I29 total_number_subjects_pu_ids

total_number_subjects_pu_ids is used to indicate when the number of subjects for the incident is Pending or Unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “total_number_subjects_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.30 Data Element I30 agency_officers_involved

Agency_officers_involved is used to indicate the number of officers from your agency who actually applied force during the incident. The value is an integer with a minimum value of one.

Occurrence: Once

Mandatory: Yes

Example Construction: “agency_officers_involved”: 1

3.2.2.31 Data Element I31 address_pu_ids

address_pu_ids is used to indicate when the location for the incident is Pending or unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “address_pu_ids”: [“UNKNOWN”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.2.32 Data Element I32 incident_tickler_ids

Incident_tickler_ids is used to indicate if the incident resulted in a death or serious bodily injury due to law enforcement use-of-force, or if there was a discharge of a firearm by a law enforcement officer at or in the direction of a person. At least one Incident Tickler should be provided, but the system supports up to three unique Incident Tickler values. The number of subject segments must be equal to or greater than the number of incident tickler ids provided.

Occurrence: Once

Mandatory: Yes

Example Construction: “incident_tickler_ids”: “DEATH”

Data Values:

DEATH = The death of a person due to law enforcement use-of-force.

INJURY = The serious bodily injury of a person due to law enforcement use-of-force.

DISCHARGE = The discharge of a firearm by law enforcement at or in the direct of a person that did not otherwise result in death or serious bodily injury.

3.2.2.33 Data Element I33 total_number_subjects

total_number_subjects is used to indicate the total number of subjects that died or received serious bodily injury as a result of a law enforcement use-of-force, or, in the absence of death or serious bodily injury, received the discharge of a firearm at or in their direction. Data value is a numeric with a minimum value of one.

Occurrence: Once

Mandatory: Yes

Example Construction: “total_number_subjects”: 3

3.2.2.34 Data Element I34 agency_officers_involved_pu_ids

Agency_officers_involved_pu_ids is used to indicate when the number of officers from your agency who actually applied force during the time of the incident is pending or unknown.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “agency_officers_involved_pu_ids”: [“UNKNOWN”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3 Subject Segment

The Subject Segment of the Service Message has twenty-five (25) data elements. The following data elements the contributors enter as free text or from the list of values. They are specific to the incident segment.

The subject segment is used to provide the subject(s) of the Use-of-Force Incident.

Occurrence: Once

Mandatory: Yes

Example Construction: "subjects": [{ }, { }, { }],

- Each opened and closed squiggly bracket represents a unique subject. The data elements and data values for the subject are defined in the following text.

3.2.3.1 Data Element S1 subject_id

subject_id is used to track individual subjects for an incident. subject_id is a digit and should be applied sequentially starting with 1 for the first subject. subject_id should remain consistent for each subject when re-submitting an incident for update.

Occurrence: Once

Mandatory: Yes

Example Construction: "subject_id": 1

3.2.3.2 Data Element S2 race_ethnicity_ids

race_ethnicity_ids is used to indicate the race and ethnicity of the subject. Multiple values may be provided in the data element.

Occurrence: Once

Mandatory: Yes

Example Construction: "race_ethnicity_ids": ["H","B","W"]

Data Values:

H = Hispanic or Latino

A = Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

B = Black or African-American: A person having origins in any of the black racial groups of Africa.

I = American Indian or Alaskan Native: A person having origins in any of the original peoples of the Americas and maintaining cultural identification through tribal affiliations or community recognition.

P = Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. The term "Native Hawaiian" does not

include individuals who are native to the State of Hawaii by virtue of being born there. However, the following Pacific Islander groups are included: Carolinian, Fijian, Kosraean, Melanesian, Micronesian, Northern Mariana Islander, Palauan, Papua New Guinean, Ponapean (Pohnpelan), Polynesian, Solomon Islander, Tahitian, Tarawa Islander, Tokelauan, Tongan, Trukese (Chuukese), and Yapese.

W = White: A person having origins in any of the original peoples of Europe, North Africa, or Middle East.

PENDING = Pending further investigation

UNKNOWN = Unknown/Not Reported

3.2.3.3 Data Element S3 gender

gender is used to indicate the gender of the subject.

Occurrence: Once

Mandatory: Yes

Example Construction: “gender”: “M”

Data values:

F = Female

M = Male

PENDING = Pending Further Investigation

UNKNOWN = Unknown/Not Reported

3.2.3.4 Data Element S4 age1

age1 is used to indicate the age of the subject or the minimum boundary for an estimate of the subject’s age.

Occurrence: Once

Mandatory: Conditional

Example Construction: “age1”: “50”

Data Values:

NN = Under 24 Hours

NB = 1–6 Days Old

BB = 7–364 Days Old

01 – 98 = Years Old

99 = Over 98 Years Old

3.2.3.5 Data Element S5 age2

age2 is used to indicate the subject age maximum bound for the subjects age. age2 is an integer. age2 if provided must be greater than age1.

Occurrence: Once

Mandatory: Optional

Example Construction: “age2”: 60

3.2.3.6 Data Element S6 age_estimated

age_estimated is used to indicate the age of the subject is an estimate. The age_estimated can be provided on a single value provided in age1.

Occurrence: Once

Mandatory: Optional

Example Construction: “age_estimated”: true

Data Values:

true = Age is an estimate

false = Age is not an estimate

3.2.3.7 Data Element S7 age_pu_ids

age_pu_ids is used to indicate the age of the subject is pending further investigation or unknown. If data element ‘age1’ or ‘age2’ is populated, do not provide data in age_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “age_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.8 Data Element S8 height1_feet

Subject height is collected in two parts; feet and inches. height1_feet is used to provide the feet value for the subject. height1_feet is used either as the exact height or minimum boundary for an estimated height feet of the subject. height1_feet is an integer from 0-9.

Occurrence: Once

Mandatory: Conditional

Example Construction: “height1_feet”: 5

3.2.3.9 Data Element S9 height1_inch

Subject height is collected in two parts; feet and inches. height1_inch is used to provide the inches value for the subject. height1_inch is used either as the exact height or minimum boundary for estimating the height inches of the subject. height1_inch is an integer from 0-11.

Occurrence: Once

Mandatory: Conditional

Example Construction: “height1_inch”: 11

3.2.3.10 Data Element S10 height2_feet

Subject height can be entered as a range. Height 2 is collected in two parts; feet and inches. height2_feet is used to provide the upper boundary feet value for an estimated height of the subject. height2_feet is an integer from 0-9. . If data element ‘height1_feet’ and ‘height1_inch’ are populated, do not provide data in height2_feet.

Occurrence: Once

Mandatory: Optional

Example Construction: “height2_feet”:6

3.2.3.11 Data Element S11 height2_inch

Subject height can be entered as a range. Subject height 2 is collected in two parts; feet and inches. height2_inch is used to provide the upper boundary inches value for an estimated height of the subject. height2_inch can be an integer from 0-11. If data element ‘height1_feet’ and ‘height1_inch’ are populated, do not provide data in height2_inch.

Occurrence: Once

Mandatory: Optional

Example Construction: “height2_inch”:3

3.2.3.12 Data Element S12 height_pu_ids

height_pu_ids is used to indicate the height of the subject is pending further investigation or unknown. If data element ‘height1_feet’ and ‘height1_inch’ are populated, do not provide data in height_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “height_pu_ids”: [“UNKNOWN”]

Data Values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.13 Data Element S13 height_estimated

height_estimated is used to indicate the height of the subject is an estimate. The height_estimated can be provided on a single value provided in height1_feet and height1_inch.

Occurrence: Once

Mandatory: Optional

Example Construction: “height_estimated”: true

Data Values:

true = Height is an estimate

false = Height is not an estimate

3.2.3.14 Data Element S14 weight1

weight1 is used to indicate the weight of the subject in pounds or the minimum boundary of an estimate of the weight of the subject. weight1 is an integer.

Occurrence: Once

Mandatory: Conditional

Example Construction: “weight1”: 160

3.2.3.15 Data Element S15 weight2

Weight2 is used to indicate the maximum boundary of an estimate of the weight of the subject in pounds. weight2 is an integer. If data element ‘weight1’ is populated, do not provide data in weight2.

Occurrence: Once

Mandatory: Optional

Example Construction: “weight2”: 170

3.2.3.16 Data Element S16 weight_pu_ids

weight_pu_ids is used to indicate the weight of the subject is pending further investigation or is unknown. If data element ‘weight1’ is populated, do not provide data in weight_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “weight_pu_ids” : [“UNKNOWN”]

Data values:

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.17 Data Element S17 weight_estimated

weight_estimated is used to indicate the weight of the subject is an estimate. The weight_estimated can be provided on a single value provided in weight1.

Occurrence: Once

Mandatory: Optional

Example Construction: “weight_estimated”: true

Data Values:

true = Weight is an estimate

false = Weight is not an estimate

3.2.3.18 Data Element S18 impairment

impairment is used to indicate if the Law Enforcement Officer felt the subject had an apparent or known impairment in mental or physical condition.

Occurrence: Once

Mandatory: Yes

Example Construction: “impairment”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.19 Data Element S19 impairment_type_ids

impairment_type_ids is used to indicate the impairment type of the subject at time of incident.

Occurrence: Once

Mandatory: Conditional

Example Construction: “impairment_type_ids”: [“Alcohol”, “Drugs”]

Data Values:

Alcohol = Alcohol impairment

Drugs = Drug Impairment

Mental = Mental health condition

Pending = Pending further investigation

Unknown = Unknown and is unlikely to ever be known

3.2.3.20 Data Element S20 resisted

resisted is used to indicate if the subject resisted during the incident.

Occurrence: Once

Mandatory: Yes

Example Construction: “resisted”: “PENDING”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.21 Data Element S21 resistance_type_ids

resistance_type_ids is used to indicate the resistance used by the subject during the incident.

Occurrence: Once

Mandatory: Conditional

Example Construction: “resistance_type_ids”: [“FIREARM”, ”ESCAPE_FLEE”]

Data Values:

BARRICADE = Barricading self

CHEMICAL = Using a chemical agent (acid, gasoline, pepper or OC (oleoresin capsicum) spray, etc.) against an officer or another

EDGE_WEAPON = Using an edged weapon against an officer or another

ELECTRONIC = Using an electronic control weapon against an officer or another

ESCAPE_FLEE = Attempt to escape/flee from custody

FIREARM = Using a firearm against an officer or another

PHYSICAL = Using hands/fists/feet against an officer or another

DISPLAY_WEAPON = Displaying a weapon at an officer or another

BODY_FLUIDS = Intentionally spitting or bleeding on an officer

THROWING = Throwing an article or object at an officer

NONCOMPLIANCE = Failing to comply to verbal commands

NONE = None

PASSIVE_RESISTANCE = Other types of passive resistance

PENDING = Pending further investigation

RESISTED = Resisted being handcuffed or arrested

UNKNOWN = Unknown and is unlikely to ever be known

VERBAL = Making verbal threats

VEHICLE = Directing a vehicle at an officer or another

3.2.3.22 Data Element S22 threat_directed_at

threat_directed_at is used to indicate who the threat by the subject(s) was perceived by the officer(s) to be directed to, the officer or to another party.

Occurrence: Once

Mandatory: Yes

Example Construction: “threat_directed_at”: “BOTH”

Data Values:

ANOTHER = Another party

BOTH = Both the officer and others

OFFICER = Officer

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.23 Data Element S23 armed

Armed is used to indicate if the law enforcement official felt the subject was armed during the incident with a weapon (other than hands, fists, and feet).

Occurrence: Once

Mandatory: Yes

Example Construction: “armed”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.24 Data Element S24 force_type_ids

force_type_ids is used to indicate the type of force used by the officer(s) on the subject during the incident. Multiple values can be provided within the data element.

Occurrence: Once

Mandatory: Yes

Example Construction: “force_type”: [“BATON”, “CANINE”]

Data Values:

BATON = Baton

BLUNT_OBJECT = Blunt instrument/Flashlight

CANINE = Canine

CHEMICAL = Chemical agent/Pepper or OC (oleoresin capsicum) spray

ELECTRONIC = Electronic control weapon (Taser®)

EXPLOSIVE = Explosive device

FIREARM = Firearm

OTHER = Other

PENDING = Pending further investigation

PHYSICAL = Hands-fists-feet

PROJECTILE = Impact projectile

UNKNOWN = Unknown and is unlikely to ever be known

3.2.3.25 Data Element S25 injury_type_ids

injury_type_ids is used to indicate the type of injuries sustained by the subject.. Multiple values can be provided within the data element.

Occurrence: Once

Mandatory: Yes

Example Construction: "injury_type_ids": ["GUNSHOT","LOSS_OF_TEETH"]

Data Values:

APPARENT_BROKEN_BONES = Apparent Broken Bones

CANINE = Canine bite

CARDIAC = Possible cardiac event

DEATH = Death

GUNSHOT = Gunshot Wound

LOSS_OF_TEETH = Loss of Teeth

LOSS_OF_BODYPARTS = Loss or partial loss of finger, toe, arm, leg, etc.

NONE = None

OTHER_MAJOR_INJURY = Other Major Injury

PENDING = Pending further investigation

POSSIBLE_INTERNAL_INJURY = Possible Internal Injury

SEVERE_LACERATION = Severe laceration/Puncture wound

UNCONSCIOUSNESS = Unconsciousness

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4 Officers Segment

The Officer Segment of the Service Message has twenty (20) data elements. The following data elements the contributors enter as free text or from the list of values. They are specific to the officer segment.

The officer segment is used to provide the officer(s) data for the Use-of-Force Incident.

Occurrence: Once

Mandatory: Yes

Example Construction: "officers": [{ }, { }, { }, { }],

- Each opened and closed squiggly bracket represents a unique officer. The data elements and data values for the officer are defined in the following text.

3.2.4.1 Data Element O1 officer_id

officer_id is used to track individual officers for an incident. officer_id is a digit and should be applied sequentially starting with 1 for the first officer. officer_id should remain consistent for each officer when re-submitting an incident for update.

Occurrence: Once

Mandatory: Yes

Example Construction: "officer_id": 1

3.2.4.2 Data Element O2 race_ethnicity_ids

Race_ethnicity_ids is used to indicate the race and ethnicity of the law enforcement officer. Multiple values may be provided.

Occurrence: Once

Mandatory: Yes

Example Construction: "race_ethnicity_ids": ["H","B","W"]

Data Values:

H = Hispanic or Latino

A = Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

B = Black or African-American: A person having origins in any of the black racial groups of Africa.

I = American Indian or Alaskan Native: A person having origins in any of the original peoples of the Americas and maintaining cultural identification through tribal affiliations or community recognition.

P = Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands. The term "Native Hawaiian" does not include individuals who are native to the State of Hawaii by virtue of being born there. However, the following Pacific Islander groups are included: Carolinian, Fijian, Kosraean, Melanesian, Micronesian, Northern Mariana Islander, Palauan, Papua New Guinean, Ponapean (Pohnpelan), Polynesian, Solomon Islander, Tahitian, Tarawa Islander, Tokelauan, Tongan, Trukese (Chuukese), and Yapese.

W = White: A person having origins in any of the original peoples of Europe, North Africa, or Middle East.

PENDING = Pending Further investigation

UNKNOWN = Unknown/Not Reported

3.2.4.3 Data Element O3 gender

Gender is used to indicate the gender of the law enforcement officer.

Occurrence: Once

Mandatory: Yes

Example Construction: “gender”: “M”

Data values:

F = Female

M = Male

PENDING = Pending further investigation

UNKNOWN = Unknown

3.2.4.4 Data Element O4 age

Age is used to indicate the age of the officer. The data value for age is an integer and should be the exact age of the officer. If age is populated, do not provide data in data element age_pu_ids.

Age has a minimum value of 18 and a maximum value of 99

Occurrence: Once

Mandatory: Conditional

Example Construction: “age”: 27

3.2.4.5 Data Element O5 age_pu_ids

Age_pu_ids is used to indicate the age of the officer is pending further investigation. If data element ‘age’ is populated, do not provide data in age_pu_ids.

Occurrence: Once

Mandatory: Conditional:

Maximum Number of Values: 1

Example Construction: “age_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

3.2.4.6 Data Element O6 height_feet

Officer height is collected in two parts; feet and inches. Height_feet is used to provide the feet value for the officer. height_feet is an integer from 0-9.

Occurrence: Once

Mandatory: Conditional

Example Construction: “height_feet”: 6

3.2.4.7 Data Element O7 height_inch

Officer height is collected in two parts; feet and inches. Height_inch is used to provide the inches value for the officer. height_inch is an integer from 0-11.

Occurrence: Once

Mandatory: Conditional

Example Construction: “height_inch”: 3

3.2.4.8 Data Element O8 height_pu_ids

height_pu_ids is used to indicate the height of the officer is pending further investigation. If data element ‘height_feet’ and/or ‘height_inch’ are populated, do not provide data in height_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “height_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

3.2.4.9 Data Element O9 weight

weight is used to indicate the weight of the officer. The data value for weight is an integer and should be the exact weight. If weight is populated, do not provide data in data element weight_pu_ids.

Occurrence: Once

Mandatory: Conditional

Example Construction: “weight”: 178

3.2.4.10 Data Element O10 weight_pu_ids

weight_pu_ids is used to indicate the weight of the officer is pending further investigation. If data element ‘weight’ is populated, do not provide data in weight_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “weight_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

3.2.4.11 Data Element O11 years_of_service

years_of_service is used to indicate the years of service the officer has as a law enforcement officer. years_of_service is an integer. If data element ‘years_of_service’ is populated, do not provide data in years_of_Service_pu_ids.

Occurrence: Once

Mandatory: Conditional

Example Construction: “years_of_Service”: 7

3.2.4.12 Data Element O12 years_of_service_pu_ids

years_of_service is used to indicate the years of service of the officer is pending further investigation. If data element ‘years_of_service’ is populated, do not provide data in years_of_Service_pu_ids.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “years_of_service_pu_ids”: [“PENDING”]

Data values:

PENDING = Pending further investigation

3.2.4.13 Data Element O13 full_part_time

full_part_time is used to indicate if officer is employed full or part time.

Occurrence: Once

Mandatory: Yes

Example Construction: “full_part_time”: “NO”

Data values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4.14 Data Element O14 officer_identifiable

officer_identifiable is used to indicate if the officer was readily identifiable as an officer during the incident.

Occurrence: Once

Mandatory: Yes

Example Construction: “officer_identifiable”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4.15 Data Element O15 on_duty

on_duty is used to indicate if the officer was on duty at time of incident.

Occurrence: Once

Mandatory: Yes

Example Construction: “on_duty”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4.16 Data Element O16 officer_injured

officer_injured is used to indicate if the officer was on injured during the incident.

Occurrence: Once

Mandatory: Yes

Example Construction: “officer_injured”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4.17 Data Element O17 injury_type_ids

injury_type_ids is used to indicate injuries incurred by officer during the incident. Multiple values can be provided. Data is completed if officer_injures has a value of ‘YES’.

Occurrence: Once

Mandatory: Conditional

Example Construction: “injury_type_ids”: [”APPARENT_BROKEN_BONES”,”GUNSHOT”]

Data Values:

APPARENT_BROKEN_BONES = Apparent Broken Bones

CANINE = Canine bite

DEATH = Death

GUNSHOT = Gunshot Wound

LOSS_OF_TEETH = Loss of Teeth

LOSS_OF_BODYPARTS = Loss or partial loss of finger, toe, arm, leg, etc.

OTHER_MAJOR_INJURY = Other Major Injury

PENDING = Pending further investigation

POSSIBLE_INTERNAL_INJURY = Possible Internal Injury

SEVERE_LACERATION = Severe laceration/Puncture wound

UNCONSCIOUSNESS = Unconsciousness

UNKNOWN = Unknown and is unlikely to ever be known

3.2.4.18 Data Element O18 nibrs_incident_number

nibrs_incident_number is used when an officer incurs injuries during the incident. The NIBRS (or local) incident number relating to the report detailing the assault or homicide of the law enforcement officer. An alphanumeric value is supported with a maximum length of twenty characters.

Occurrence: Once

Mandatory: Conditional

Example Construction: “nibrs_incident_number”: “nibrsreport123”

3.2.4.19 Data Element O19 nibrs_incident_number_pu_ids

nibrs_incident_number_pu_ids is used when an officer incurs injuries during the incident and the NIBRS incident number relating to the report detailing the assault or homicide of the law enforcement officer is pending further investigation, unknown or not reported.

Occurrence: Once

Mandatory: Conditional

Maximum Number of Values: 1

Example Construction: “nibrs_incident_number_pu_ids”: [“PENDING”]

Data Values:

PENDING = Pending further investigation

UNKNOWN = Unknown/Not reported

3.2.4.20 Data Element O20 shots_fired

shots_fired is used to indicate a law enforcement officer fired shots during the incident.

Occurrence: Once

Mandatory: YES

Example Construction: “shots_fired”: “YES”

Data Values:

YES = Yes

NO = No

PENDING = Pending further investigation

UNKNOWN = Unknown and is unlikely to ever be known

3.2.5 ZeroReport Segment

The ZeroReport Segment of the Service Message has two (2) data elements. The following data elements the contributors enter as free text. They are specific to the ZeroReport segment.

The ZeroReport segment is used to report when no use-of-force incidents occurred for a month and year.

Occurrence: Once

Mandatory: Yes

Example Construction: "ZeroReport": { }

- The opened and closed squiggly bracket represents a unique zero report and its data elements and data values. The ZeroReport data elements and values are defined in the following text.

3.2.5.1 Data Element Z1 agency_ori

agency_ori is used to indicate the law enforcement agency with no use-of-force incidents to report. The ORI must be a valid UCR ORI. The value is an alphanumeric ORI of nine characters in length.

Occurrence: Once

Mandatory: Yes

Example Construction: "agency_ori": "WV1234500"

3.2.5.2 Data Element Z2 month_year

month_year is used to indicate the month and year when no use-of-force incidents occurred.

Occurrence: Once

Mandatory: Yes

Example Construction: "month_year": "11/2016"

Appendix A: SAMPLE FILES

This section provides examples of the Use-of-Force Incident and Zero Report for submission.

A. Use-of-Force Incident with Action of Add.

```
{
  "Action": "Add",
  "ActionTime": "02/14/2016 12:33:23",
  "Incident": {
    "agency_ori": "TORI01201",
    "agency_case_number": "jdb003",
    "incident_date": "01/09/2016",
    "total_officers_involved": 2,
    "total_number_subjects": 1,
    "total_number_other_agencies": 1,
    "agency_officers_involved": 1,
    "nibrs_incident_number": "",
    "did_officer_approach": "YES",
    "ambushed": "NO",
    "senior_officer_present": "NO",
    "address_1": "Street address description 1",
    "address_2": "",
    "address_city": "",
    "address_state_id": "PA",
    "address_zip": 15445,
    "address_latitude": null,
    "address_longitude": null,
    "address_pu_ids": [],
    "other_agencies_involved": [{
      "agency_case_number": "jdb1234",
      "agency_ori": "TORI01202"
    }],
    "reporting_agency_ori": "TORI01201",
    "incident_time_hours": 11,
    "incident_time_minutes": 22,
    "location_type": "03",
    "offense1": "23H",
    "offense2": "90J",
    "offense3": null,
    "initial_contact_id": "CRIMINAL_SUSPICIOUS_ACTIVITY",
    "nibrs_incident_number_pu_ids": ["PENDING"],
    "offense_pu_ids": ["PENDING"],
    "total_officers_involved_pu_ids": [],
    "total_number_subjects_pu_ids": []
  }
}
```



```

"agency_officers_involved_pu_ids": [],
"incident_tickler_ids": ["INJURY"],
"subjects": [{
  "subject_id": 1,
  "age1": "22",
  "age2": 25,
  "age_estimated": true,
  "height_estimated": true,
  "weight1": 155,
  "weight2": null,
  "weight_estimated": true,
  "armed": "YES",
  "impairment": "YES",
  "gender": "M",
  "resisted": "YES",
  "impairment_type_ids": ["ALCOHOL", "MENTAL"],
  "resistance_type_ids": ["BARRICADE", "VEHICLE", "ESCAPE_FLEE"],
  "injury_type_ids": ["OTHER_MAJOR_INJURY", "LOSS_OF_TEETH", "GUNSHOT"],
  "race_ethnicity_ids": ["H", "W"],
  "threat_directed_at": "OFFICER",
  "force_type_ids": ["FIREARM"],
  "height1_feet": 5,
  "height1_inch": 9,
  "height2_feet": 5,
  "height2_inch": 11,
  "weight_pu_ids": [],
  "height_pu_ids": [],
  "age_pu_ids": []
}],
"officers": [{
  "age": 29,
  "weight": 195,
  "officer_id": 1,
  "nibrs_incident_number": "",
  "years_of_service": 8,
  "gender": "M",
  "age_pu_ids": [],
  "height_pu_ids": [],
  "weight_pu_ids": [],
  "years_of_service_pu_ids": [],
  "full_part_time": "YES",
  "officer_identifiable": "YES",
  "on_duty": "YES",
  "shots_fired": "YES",
  "officer_injured": "YES",
  "injury_type_ids": ["POSSIBLE_INTERNAL_INJURY", "SEVERE_LACERATION"],
  "race_ethnicity_ids": ["H", "B"],
  "nibrs_incident_number_pu_ids": ["PENDING"],

```

```

        "height_feet": 6,
        "height_inch": 0
    }}
}
}

```

B. Use-of-Force Incident with Action of Add for report with Pending values.

```

{
  "Action": "Add",
  "ActionTime": "02/14/2016 12:33:23",
  "Incident": {
    "agency_ori": "TORI01201",
    "agency_case_number": "jdb003",
    "incident_date": "01/09/2016",
    "total_officers_involved": 2,
    "total_number_subjects": 1,
    "total_number_other_agencies": 1,
    "agency_officers_involved": 1,
    "nibrs_incident_number": "",
    "did_officer_approach": "PENDING",
    "ambushed": "UNKNOWN",
    "senior_officer_present": "PENDING",
    "address_1": "Street address description 1",
    "address_2": "",
    "address_city": "",
    "address_state_id": "PA",
    "address_zip": 15445,
    "address_latitude": null,
    "address_longitude": null,
    "address_pu_ids": [],
    "reporting_agency_ori": "TORI01201",
    "incident_time_hours": 11,
    "incident_time_minutes": 22,
    "location_type": "UNKNOWN",
    "offense1": "",
    "offense2": "",
    "offense3": "",
    "initial_contact_id": "CRIMINAL_SUSPICIOUS_ACTIVITY",
    "nibrs_incident_number_pu_ids": ["PENDING"],
    "offense_pu_ids": ["PENDING"],
    "total_officers_involved_pu_ids": [],
    "total_number_subjects_pu_ids": [],
    "agency_officers_involved_pu_ids": [],
    "incident_tickler_ids": ["INJURY"],
    "other_agencies_involved": [{
      "agency_case_number": "jdb1234",

```

```

    "agency_ori": "TORI01202"
  }},
  "subjects": [{
    "subject_id": 1,
    "age1": "",
    "age2": "",
    "age_estimated": false,
    "height_estimated": false,
    "weight1": "",
    "weight2": "",
    "weight_estimated": false,
    "armed": "PENDING",
    "impairment": "YES",
    "gender": "M",
    "resisted": "YES",
    "impairment_type_ids": ["PENDING"],
    "resistance_type_ids": ["UNKNOWN"],
    "injury_type_ids": ["UNKNOWN"],
    "race_ethnicity_ids": ["PENDING"],
    "threat_directed_at": "PENDING",
    "force_type_ids": ["UNKNOWN"],
    "height1_feet": "",
    "height1_inch": "",
    "height2_feet": "",
    "height2_inch": "",
    "weight_pu_ids": ["PENDING"],
    "height_pu_ids": ["PENDING"],
    "age_pu_ids": ["PENDING"]
  }],
  "officers": [{
    "age": "",
    "weight": "",
    "officer_id": 1,
    "nibrs_incident_number": "",
    "years_of_service": "",
    "gender": "PENDING",
    "age_pu_ids": ["PENDING"],
    "height_pu_ids": ["PENDING"],
    "weight_pu_ids": ["PENDING"],
    "years_of_service_pu_ids": ["PENDING"],
    "full_part_time": "PENDING",
    "officer_identifiable": "UNKNOWN",
    "on_duty": "PENDING",
    "shots_fired": "PENDING",
    "officer_injured": "PENDING",
    "injury_type_ids": [],
    "race_ethnicity_ids": ["W"],
    "nibrs_incident_number_pu_ids": [],

```

```

        "height_feet": "",
        "height_inch": ""
    }}
}

```

C. Use-of-Force Incident with Action of Remove

```

{
  "Action": "Remove",
  "ActionTime": "03/16/2016 12:33:23",
  "Incident": {
    "agency_ori": "TORI01201",
    "incident_date": "01/09/2016",
    "agency_case_number": "jdb003"
  }
}

```

D. ZeroReport with Action of Add.

```

{"Action": "Add",
 "ActionTime": "12/16/2016 12:33:23",
 "ZeroReport": { "agency_ori": "TORI01203", "month_year": "11/2016" }
}

```

E. ZeroReport with Action of Remove..

```

{"Action": "Remove",
 "ActionTime": "12/17/2016 12:33:23",
 "ZeroReport": { "agency_ori": "TORI01203", "month_year": "11/2016" }
}

```

Appendix B: FILE NAME AND SUBMISSION

This section sets forth the requirements for submitting use-of-force data to the FBI.

The FBI CJIS Enterprise File Transfer Service is used to provide bulk loads of Use-of-Force incident reports to the FBI. The data must be created in the flat file format defined in the National Use-of-Force Data Collection Flat File and Bulk Load Technical Specification and conform to the file naming convention defined below.

The bulk load is used to provide reports to the system outside the National Use-of-Force Data Collection web application interface.

The files require the following file name convention for submission to the Use-of-Force system. The file name convention guarantees uniqueness of the file upon submission to the FBI. The file name convention is made up of four elements and a file name extension.

Four elements required in naming:

ORI – Agency ORI submitting the data in the nine character FBI UCR ORI format

Date – Date the file is created in Year, Month, Day format of YYYYMMDD

Time – Time the file is created in twenty-four hour clock format for hours and minutes, HHMM

Unique number – Unique sequence number #. The unique sequence number is not limited in size for processing.

Valid file name extensions

.zip – used when submitting compressed file or files

.json – used when submitting an uncompressed file

A .json file may only contain a singular use of force report per submission.

A .zip may contain one to many .json files per submission. The ZIP file must not contain any directory structure.

File name must be constructed in the following format.

ORI456789_YYYYMMDD_HHMM_####.json

ORI456789_YYYYMMDD_HHMM_####.zip

- contains more than one json file following the file name convention

File Name Examples:

WV0123400_20161230_0953_0001.json

WV0123400_20161230_0953_0002.json

WV0123400_20161230_0953_0003.json

.
. .
.

WV0123400_20161230_0953_9999.json

WV0123400_20170130_0954_0001.zip

Appendix C: MANDATORIES

This section sets forth the requirements for reporting use-of-force data elements to the FBI.

A. Data Element Requirements

Data elements designated as mandatory must have data values entered for them in data records submitted to the FBI or the reports to which they relate will be rejected as containing errors. Examples of mandatory data elements are agency ORI Number, Agency Case Number, and Incident Date. If an incident report submitted on the electronic submission lacks entries for the agency ORI Number, Agency Case Number, or Incident Date, the report will be rejected.

Some mandatory data elements require a condition to arise before they must have data entered. They have an *If statement* associated with them stating the condition to be fulfilled which will require their presence.

Example: If Data Element I11 total_number_other_agencies is not 0 then Data Element I10 other_agencies_involved must contain the specified number of agency_ori, agency_case_number record mappings.

Example: If Data Element O12 years_of_service_pu_ids is “PENDING” then Data Element O11 should not be populated.

Appendix D: QUESTIONNAIRE

This section contains the National Use-of-Force Data Collection (UoF) questionnaire which should be used for context. The UoF portal was developed based on the design of this questionnaire.

NATIONAL USE-OF-FORCE DATA COLLECTION

The National Use-of-Force Data Collection is a component of the Uniform Crime Reporting Program that is used by law enforcement agencies to report a law enforcement use of force that results in a fatality, serious bodily injury to a person, or the discharge of a firearm at or in the direction of a person.

The definition of *serious bodily injury* is based in part on 18 United States Code 2246 (4) and means ***“bodily injury that involves a substantial risk of death, unconsciousness, protracted and obvious disfigurement, or protracted loss or impairment of the function of a bodily member, organ, or mental faculty.”***

Did this incident result in...? (Select all that apply.)

- ☐ The death of a person due to law enforcement use of force?
- ☐ The serious bodily injury of person due to law enforcement use of force?
- ☐ The discharge of a firearm by law enforcement at or in the direction of a person that did not otherwise result in death or serious bodily injury?

If you were able to select any of the above categories, please proceed to Q1 under Incident Information on the next page.

Incident Information

The following questions ask for details about the incident as known by your agency currently. If information is still being assessed, please indicate “pending further investigation.” You will be able to update the information at a later time. All data elements must have a valid response indicated in order to save the incident information.

- Q1. Date of the incident (mm/dd/yyyy) _____
- Q2. Time of the incident (24-hour time HHMM) _____
- Q3. Agency Case Number (This number is requested to assist in tracking incidents through the data collection. It will not be released in its original format to the public)
- _____
- Q4. Location of the incident
- ☐ Address (include street address/intersection, city, state, and ZIP Code)
 - _____
 - _____
 - ☐ Latitude/longitude x-coordinate: _____ y-coordinate _____
 - ☐ Pending further investigation
 - ☐ Unknown and is unlikely to ever be known
- Q5. Location type of the incident (Please select one category that best fits.)
- ☐ Abandoned/Condemned Structure
 - ☐ Air/Bus/Train Terminal
 - ☐ Amusement Park
 - ☐ Arena/Stadium/Fairgrounds/Coliseum
 - ☐ ATM (Automated Teller Machine) Separate from Bank
 - ☐ Auto Dealership New/Used
 - ☐ Bank/Savings and Loan
 - ☐ Bar/Nightclub
 - ☐ Camp/Campground
 - ☐ Church/Synagogue/Temple/Mosque
 - ☐ Commercial/Office Building
 - ☐ Community Center
 - ☐ Construction Site
 - ☐ Convenience Store
 - ☐ Daycare Facility
 - ☐ Department/Discount Store
- (list continued onto next page)

- ☐ Dock/Wharf/Freight/Modal Terminal
- ☐ Drug Store/Doctor's Office/Hospital
- ☐ Farm Facility
- ☐ Field/Woods
- ☐ Gambling Facility/Casino/Race Track
- ☐ Government/Public Building
- ☐ Grocery/Supermarket
- ☐ Highway/Road/Alley/Street/Sidewalk
- ☐ Hotel/Motel/Etc.
- ☐ Industrial Site
- ☐ Jail/Prison/Penitentiary/Corrections Facility
- ☐ Lake/Waterway/Beach
- ☐ Liquor Store
- ☐ Military Installation
- ☐ Park/Playground
- ☐ Parking/Drop Lot/Garage
- ☐ Rental Storage Facility
- ☐ Residence/Home
- ☐ Rest Area
- ☐ Restaurant
- ☐ School-College/University
- ☐ School-Elementary/Secondary
- ☐ Service/Gas Station
- ☐ Shelter-Mission/Homeless
- ☐ Shopping Mall
- ☐ Specialty Store
- ☐ Tribal Lands
- ☐ Other
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q6. What was the reason for initial contact between the subject(s) and the officer(s)? (Please select one)

- ☐ Response to unlawful or suspicious activity [Skip to Q6a and Q6b]
- ☐ Medical, mental health, or welfare assistance
- ☐ Routine patrol other than traffic stop
- ☐ Traffic stop
- ☐ Warrant service
- ☐ Service of a court order
- ☐ Mass demonstration
- ☐ Follow up investigation

- ☐ Other
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q6a. If the use of force was in response to report or observation of “unlawful or suspicious activity,” what were the most serious observed offenses committed by the subject prior to or at the time of the incident?

Offense #1 _____

Offense #2 _____

Offense #3 _____

- ☐ Pending further investigation
- ☐ Unknown/Not reported

Q6b. The National Incident-Based Reporting System (NIBRS) or local incident number of report detailing criminal incident information on the subject.

- ☐ Pending further investigation
- ☐ Unknown/Not reported

Q7. Did the officer approach the subject(s)?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q8. Was this an ambush incident?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q9. Was a supervisor or a senior officer acting in a similar capacity present or consulted prior to when force was used in the incident?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q10. Total number of officers who actually applied force during the time of incident _____

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q11. Number of officers from ***your agency*** who actually applied force during the time of incident _____

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q12. Total number of subjects that died or received serious bodily injury as a result of a law enforcement use of force, or, in the absence of death or serious bodily injury, received the discharge of a firearm at or in their direction _____

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q13. If the incident involved officers who used force from multiple law enforcement agencies, please provide ORIs and case numbers for the local use-of-force reports at the other agencies.

ORI

CASE NUMBER

Subject Information

*Please complete the following set of questions from this section for each individual who was subject to force that resulted in death, severe bodily injury, or a firearm discharge applied by officers **from your agency** in the course of this incident. Do not include any witnesses or bystanders who were not the subject of force applied by law enforcement. All data elements must have a valid response indicated in order to save the incident information.*

Subject Sequence Number _____

Q14. Age of subject at time of incident _____ years old

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q15. Sex of subject

- ☐ Male
- ☐ Female
- ☐ Pending further investigation
- ☐ Unknown/Not reported

Q16. Race and ethnicity of subject (select all that apply)

- ☐ Hispanic or Latino
- ☐ American Indian or Alaska Native
- ☐ Asian
- ☐ Black or African-American
- ☐ Native Hawaiian or Other Pacific Islander
- ☐ White
- ☐ Pending further investigation
- ☐ Unknown/Not reported

Q17. Height of subject (report actual or estimated range of values)

_____ feet _____ inches ☐ estimated

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q18. Weight of subject (report actual or estimated range of values)

_____ pounds ☐ estimated

- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q19. Was there an apparent or known impairment in the mental or physical condition of the subject?

- ☐ Yes [Go to Q19a]
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q19a. Please indicate which conditions apply (Select all that apply)

- ☐ Mental health condition
- ☐ Alcohol impairment
- ☐ Drug impairment
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q20. Was the threat by the subject(s) perceived by the officer(s) to be directed to the officer or to another party?

- ☐ Officer
- ☐ Another party
- ☐ Both the officer and others
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q21. Did the subject resist the officer(s)?

- ☐ Yes [Go to Q21a]
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q21a. What resistance or weapon was or believed to be involved? (Select all that apply)

- ☐ Attempted to escape or flee from custody
- ☐ Resisted being handcuffed or arrested
- ☐ Barricading self
- ☐ Using a chemical agent (acid, gasoline, pepper or OC (oleoresin capsicum) spray, etc.) against an officer or another
- ☐ Using an edged weapon against an officer or another
- ☐ Using an electronic control weapon against an officer or another
- ☐ Using a firearm against an officer or another
- ☐ Using hands/fist/feet against an officer or another
- ☐ Displaying a weapon at an officer or another
- ☐ Directing a vehicle at an officer or another
- ☐ Intentionally spitting or bleeding on an officer
- ☐ Throwing an article or object at an officer
- ☐ Making verbal threats
- ☐ Failing to comply to verbal commands
- ☐ Other types of passive resistance
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known
- ☐ None

Q22. At any time during the incident, was the subject armed or believed to be armed with a weapon (other than hands, fists, or feet)?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q23. Type(s) of force used by law enforcement connected to serious bodily injury or death of the subject (Select all that apply)

- ☐ Firearm
- ☐ Electronic control weapon (Taser®)
- ☐ Explosive device
- ☐ Chemical agent/Pepper or OC (oleoresin capsicum) spray
- ☐ Baton
- ☐ Impact projectile
- ☐ Blunt instrument/flashlight
- ☐ Hands-fists-feet
- ☐ Canine
- ☐ Other
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q24. What were the subject's injuries received as a direct consequence of the use of force by law enforcement? (Select all that apply)

- ☐ Apparent broken bones
- ☐ Gunshot wound
- ☐ Loss of teeth
- ☐ Loss or partial loss of finger, toe, arm, leg, etc.
- ☐ Possible internal injury
- ☐ Severe laceration/puncture wound
- ☐ Canine bite
- ☐ Unconsciousness
- ☐ Possible cardiac event
- ☐ Other major injury
- ☐ Death
- ☐ None
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Officer Information

*Please complete the following set of questions from this section for each officer who actually applied force that resulted in death, serious bodily injury, or discharged a firearm at or in the direction of a person in the course of this incident **from your agency**. Do not include any officers who were assisting or present; did not apply force; or applied force that did not meet the criteria as specified above. All data elements must have a valid response indicated in order to save the incident information.*

Officer Sequence Number _____

Q25. Age of officer at time of incident _____ years old

☐ Pending further investigation

Q26. Sex of the officer

☐ Male

☐ Female

☐ Pending further investigation

☐ Unknown/Not reported

Q27. Race and ethnicity of the officer (select all that apply)

☐ Hispanic or Latino

☐ American Indian or Alaska Native

☐ Asian

☐ Black or African-American

☐ Native Hawaiian or Other Pacific Islander

☐ White

☐ Pending further investigation

☐ Unknown/Not reported

Q28. Height of the officer _____ feet _____ inches

☐ Pending further investigation

Q29. Weight of the officer _____ pounds

☐ Pending further investigation

Q30. Officer's years of service as a law enforcement officer (total tenure)

_____ years

☐ Pending further investigation

Q31. Does the officer work full-time (35 or more hours per week)?

☐ Yes

☐ No

☐ Pending further investigation

☐ Unknown and is unlikely to ever be known

Q32. Was the officer on duty at the time of the incident?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q33. Was the officer readily identifiable by clothing or insignia at the time of the incident?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q34. Did the officer discharge a firearm at or in the direction of a person during the incident?

- ☐ Yes
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q35. Was the officer injured during the incident that precipitated the use of force?

- ☐ Yes [Go to Q35a and Q35b]
- ☐ No
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q35a. What were the officer's injuries during the incident that precipitated the use of force (select all that apply)

- ☐ Apparent broken bones
- ☐ Gunshot wound
- ☐ Loss of teeth
- ☐ Loss or partial loss of finger, toe, arm, leg, etc.
- ☐ Possible internal injury
- ☐ Severe laceration/puncture wound
- ☐ Canine bite
- ☐ Unconsciousness
- ☐ Other major injury
- ☐ Death
- ☐ Pending further investigation
- ☐ Unknown and is unlikely to ever be known

Q35b. NIBRS (or local) incident number of report detailing assault or homicide of law enforcement officer.

-
- ☐ Pending further investigation
 - ☐ Unknown/Not reported

Appendix E: QUESTIONNAIRE INSTRUCTIONS

This section contains the Instructions produces for the UoF questionnaire. This information provides guidance on answering the questions and should provide context for the data elements presented in this document.

INSTRUCTIONS FOR COMPLETING QUESTIONS

NATIONAL USE-OF-FORCE DATA COLLECTION

Incident Information

Q1. Date of the incident

The date of the incident should reflect when the interaction between law enforcement and the subject occurred.

The format of the date should be mm/dd/yyyy.

Q2. Time of the incident

The time of the incident should reflect your best estimate of when use of force between law enforcement and the subject occurred. If the exact time is not known, round the time to the nearest hour.

The format of the time should be 24-hour military time of HHMM.

Q3. Agency Case Number

Please provide the case number used by your agency to track the incident for internal use.

The agency case number is requested to assist in the tracking of incidents through the data collection. This includes efforts to prevent duplicate reporting of deaths in custody between the FBI National Use-of-Force Collection and the Bureau of Justice Statistics' Death in Custody Reporting Program. The agency case number will also allow for easier communications among the national UCR Program, state UCR Programs, and contributing agencies.

This case number will be encrypted by the FBI if ever released outside of the FBI.

Q4. Location of the incident

Please identify your best estimate of the location of the event causing injury, death, or the location of firearm discharge either by its address, approximate location (i.e., street intersection, neighborhood), or by geographic coordinates (latitude/longitude). The agency can provide one or the other. Both are not necessary.

When providing the geospatial data in longitude and latitude (geographic coordinates), please provide or transform the data in the NAD83 coordinate system.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q5. Location type of the incident

Law enforcement agencies (LEAs) should use this data element to report the type of location/premises where the use of force took place.

The FBI UCR Program recognizes that for many incidents, there is more than one possible choice for reporting a location. For the purposes of this collection, law enforcement should use their best estimate for the location of where the use of force took place.

Because the geographic location of an incident is not always the same as the functional location of the incident, the FBI UCR Program relies on LEAs to report the most appropriate location type. For example, if an incident occurred at an elementary school playground during school hours, the location can be classified as *School – Elementary/Secondary*. But, if the incident occurred at the same physical location on a Saturday afternoon when the school was not operating and the public was allowed to use the facility for recreational purposes, LEAs would be equally correct in classifying the location as *Park/Playground*.

Sometimes, LEAs can determine the location by the offender's intent during the commission of the crime. For example, if the offender chose to commit a robbery during a church service held at a public facility routinely used for basketball games, LEAs can choose to classify the location as *Church/Synagogue/Temple/Mosque* since the building was being used for a public religious activity at the time the crime was committed.

| <i>Data Value</i> | <i>Data Value Includes</i> |
|-------------------------------|---|
| Abandoned/Condemned Structure | Buildings or structures which are completed but have been abandoned by the owner and are no longer being used Note: This data value does not include vacant rental property. LEAs should use the data value that best describes the property in question, e.g., vacant rental house should be classified as <i>Residence/Home</i> , vacant convenience store that is for rent should be classified as <i>Convenience Store</i> , etc. |
| Air/Bus/Train Terminal | Airports; bus, boat, ferry, or train stations and terminals |
| Amusement Park | Indoor or outdoor, permanent or temporary, commercial enterprises that offer rides, games, and other entertainment |

| <i>Data Value</i> | <i>Data Value Includes</i> |
|------------------------------------|--|
| Arena/Stadium/Fairgrounds/Coliseum | Open-air or enclosed amphitheater-type areas designed and used for the presentation of sporting events, concerts, assemblies, etc. |
| ATM Separate from Bank | Machines that provide the ability to make deposits and/or withdrawals using a bank card; ATM machines located inside a mall or store Note: LEAs should use <i>Bank/Savings and Loan</i> if the ATM is located at a banking facility. |
| Auto Dealership New/Used | Businesses specifically designed for selling new and used motor vehicles Note: This data value also includes the parking lots and garages of these facilities. |
| Bank/Savings and Loan | Other financial institutions, whether in a separate building or inside of another store Note: This data value does not include payday lender type businesses. |
| Bar/Nightclub | Establishments primarily for entertainment, dancing, and the consumption of beverages |
| Camp/Campground | Areas used for setting up camps, including tent and recreational vehicle campsites |
| Church/Synagogue/Temple/Mosque | Buildings for public religious activities, meetings, or worship |
| Commercial/Office Building | Establishments that pertain to commerce and trade |
| Community Center | Public locations where members of a community gather for group activities, social activities, public information, and other purposes; they may sometimes be open for the whole community or for a specialized group within the greater community; Christian community center; Islamic community center; Jewish community center; youth clubs, etc. |
| Construction Site | All buildings/locations that are under some type of construction |

| <i>Data Value</i> | <i>Data Value Includes</i> |
|-------------------------------------|---|
| Convenience Store | Establishments primarily for convenience shopping, e.g., stores that include the sale of other items as well as gasoline |
| Daycare Facility | Facilities that provide short-term supervision, recreation, and/or meals for adults or children during the daytime or at night; respite care facilities for seniors or for physically or mentally challenged individuals |
| Department/Discount Store | Establishments that are considered department stores and that sell a wide range of goods; Target, Wal-Mart, etc. Note: This data value does not include shopping malls. |
| Dock/Wharf/Freight/Modal Terminal | Separate facility with platforms at which trucks, ships, or trains load or unload cargo Note: This data value does not include cargo bays attached to a department store or shopping mall. LEAs should classify these as <i>Department/Discount Store</i> or <i>Shopping Mall</i> , respectively. |
| Drug Store/Doctor's Office/Hospital | Medical supply companies and buildings; stores that are primarily considered pharmacies; veterinary practices, veterinary hospitals, and medical practices |
| Farm Facility | Facilities designed for agricultural production or devoted to the raising and breeding of animals, areas of water devoted to aquaculture, and/or all building or storage structures located there; grain bins Note: LEAs should classify the house on a farm as <i>Residence/Home</i> . |
| Field/Woods | Areas that are primarily open fields or wooded areas Note: This data value does not include parks. |

| <i>Data Value</i> | <i>Data Value Includes</i> |
|---|--|
| Gambling Facility/Casino/Race Track | Indoor or outdoor facilities used to legally bet on the uncertain outcome of games of chance, contests, and/or races |
| Government/Public Building | Buildings primarily used for local, state, or federal offices or public businesses |
| Grocery/Supermarket | Establishments primarily used for buying/selling food items, etc. |
| Highway/Road/Alley/Street/Sidewalk | Open public ways for the passage of vehicles, people, and animals |
| Hotel/Motel/Etc. | Other temporary lodgings Note: This data value does not include campgrounds or recreational vehicle parks. |
| Industrial Site | Active manufacturing locations, factories, mills, plants, etc., specifically designed for the manufacturing of goods Note: This data value does not include abandoned facilities. LEAs should classify these as <i>Abandoned/Condemned Structure</i> . |
| Jail/Prison/Penitentiary/Corrections Facility | Places for the confinement of persons in lawful detention or awaiting trial |
| Lake/Waterway/Beach | Shorelines, lakes, streams, canals, or bodies of water other than swimming pools |
| Liquor Store | Establishments primarily used for buying/selling alcoholic beverages |
| Military Installation | Locations specifically designed and used for military operations |
| Park/Playground | Areas of land set aside for public use usually maintained for recreational or ornamental purposes; soccer fields, baseball fields |
| Parking/Drop Lot/Garage | Areas primarily used for parking motorized vehicles that are commercial in nature |
| Rental Storage Facility | Any mini-storage and/or self-storage buildings |

| <i>Data Value</i> | <i>Data Value Includes</i> |
|-------------------------------|---|
| Residence/Home | <p>Apartments, condominiums, townhouses, nursing homes, residential driveways, residential yards; extended/continuous care facilities</p> <p>Note: This data value refers to permanent residences.</p> |
| Rest Area | Designated areas, usually along a highway, where motorists can stop |
| Restaurant | Any commercial establishments that serve meals or refreshments; cafeterias |
| School – College/University | Institutions for the higher education of individuals, which gives instruction in specialized fields; community colleges; trade schools |
| School – Elementary/Secondary | Institutions for the instruction of children from preschool through 12th grade |
| Service/Gas Station | Establishments where motor vehicles are serviced and gasoline, oil, etc., are sold |
| Shelter – Mission/Homeless | Establishments that provide temporary housing for homeless individuals and/or families; venues set up as temporary shelters, i.e., a shelter set up in a church or school during a storm |
| Shopping Mall | <p>Indoor or outdoor shopping areas and/or centers with multiple (two or more) stores and/or businesses; strip malls</p> <p>Note: LEAs should use the data value that best describes the location in question.</p> |
| Specialty Store | Fur stores, jewelry stores, television stores, dress shops, and clothing stores, etc.; payday lender type businesses |
| Tribal Lands | <p>Native American reservations, communities, and/or trust lands</p> <p>Note: The FBI UCR Program intends that non-tribal LEAs will primarily use this data value.</p> |

| <i>Data Value</i> | <i>Data Value Includes</i> |
|-------------------------------------|--|
| | Tribal agencies should use the data value that best describes the location in question. |
| Other | Any location that does not fit in one of the other defined data values |
| Pending further investigation | When the location of the incident is not known but is still in the process of investigation. |
| Unknown and is unlikely to be known | When the location of the incident is unknown and would never be known even after investigation |

Q6. What was the reason for initial contact between the subject(s) and the officer(s)?

The purpose of this question is to record the initial type of either call for service or self-initiated law enforcement activity that brought the officer(s) and subject(s) in contact with one another or circumstances upon arrival at the scene. This can also include instances when law enforcement is called on to respond to a call for medical or mental health assistance, as well as transporting the subject to medical or mental health facilities.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q6a. If this was due to “unlawful or suspicious activity,” what were the most serious reported offenses committed by the subject prior to or at the time of the incident?

The purpose of this item is to identify the alleged criminal offenses committed by the subject(s) that led to the initial contact with the police. This question should only be answered if the reason for initial contact between officer and subject was recorded as *unlawful or suspicious activity*.

Please record the most serious reported offenses by the NIBRS offense designation (up to 3 offenses). Please rank order offenses in terms of the degree of severity. Please see below for list of NIBRS offenses. For more information on the definitions of the NIBRS offenses, please see the NIBRS User Manual at <https://www.fbi.gov/about-us/cjis/ucr/nibrs/nibrs-user-manual>.

| Group A Offenses | | | |
|-------------------------|-------------|----------------------------|----------------------|
| Offense | Code | Offense Description | Crime Against |
| Animal Cruelty Offenses | 720 | Animal Cruelty | Society |
| Arson | 200 | Arson | Property |
| Assault Offenses | 13A | Aggravated Assault | Person |
| Assault Offenses | 13B | Simple Assault | Person |
| Assault Offenses | 13C | Intimidation | Person |

| | | | |
|--|-----|---|-------------|
| Bribery | 510 | Bribery | Property |
| Burglary/Breaking & Entering | 220 | Burglary/Breaking & Entering | Property |
| Counterfeiting/Forgery | 250 | Counterfeiting/Forgery | Property |
| Destruction/Damage/Vandalism of Property | 290 | Destruction/Damage/Vandalism of Property | Property |
| Drug/Narcotic Offenses | 35A | Drug/Narcotic Violations | Society |
| Drug/Narcotic Offenses | 35B | Drug Equipment Violations | Society |
| Embezzlement | 270 | Embezzlement | Property |
| Extortion/Blackmail | 210 | Extortion/Blackmail | Property |
| Fraud Offenses | 26A | False Pretenses/Swindle/Confidence Game | Property |
| Fraud Offenses | 26B | Credit Card/Automated Teller Machine Fraud | Property |
| Fraud Offenses | 26C | Impersonation | Property |
| Fraud Offenses | 26D | Welfare Fraud | Property |
| Fraud Offenses | 26E | Wire Fraud | Property |
| Fraud Offenses | 26F | Identity Theft | Property |
| Fraud Offenses | 26G | Hacking/Computer Invasion | Property |
| Gambling Offenses | 39A | Betting/Wagering | Society |
| Gambling Offenses | 39B | Operating/Promoting/Assisting Gambling | Society |
| Gambling Offenses | 39C | Gambling Equipment Violations | Society |
| Gambling Offenses | 39D | Sports Tampering | Society |
| Homicide Offenses | 09A | Murder & Non-negligent Manslaughter | Person |
| Homicide Offenses | 09B | Negligent Manslaughter | Person |
| Homicide Offenses | 09C | Justifiable Homicide | Not a Crime |
| Human Trafficking | 64A | Human Trafficking, Commercial Sex Acts | Person |
| Human Trafficking | 64B | Human Trafficking, Involuntary Servitude | Person |
| Kidnapping/Abduction | 100 | Kidnapping/Abduction | Person |
| Larceny/Theft Offenses | 23A | Pocket-picking | Property |
| Larceny/Theft Offenses | 23B | Purse-snatching | Property |
| Larceny/Theft Offenses | 23C | Shoplifting | Property |
| Larceny/Theft Offenses | 23D | Theft From Building | Property |
| Larceny/Theft Offenses | 23E | Theft From Coin-Operated Machine or Device | Property |
| Larceny/Theft Offenses | 23F | Theft From Motor Vehicle | Property |
| Larceny/Theft Offenses | 23G | Theft of Motor Vehicle Parts or Accessories | Property |
| Larceny/Theft Offenses | 23H | All Other Larceny | Property |
| Motor Vehicle Theft | 240 | Motor Vehicle Theft | Property |
| Pornography/Obscene Material | 370 | Pornography/Obscene Material | Society |
| Prostitution Offenses | 40A | Prostitution | Society |
| Prostitution Offenses | 40B | Assisting or Promoting Prostitution | Society |
| Prostitution Offenses | 40C | Purchasing Prostitution | Society |
| Robbery | 120 | Robbery | Property |
| Sex Offenses | 11A | Rape | Person |
| Sex Offenses | 11B | Sodomy | Person |
| Sex Offenses | 11C | Sexual Assault With An Object | Person |
| Sex Offenses | 11D | Fondling | Person |
| Sex Offenses | 36A | Incest | Person |

| | | | |
|--------------------------------------|-------------|--------------------------------------|------------------------------|
| Sex Offenses | 36B | Statutory Rape | Person |
| Stolen Property Offenses | 280 | Stolen Property Offenses | Property |
| Weapon Law Violations | 520 | Weapon Law Violations | Society |
| Group B Offenses | | | |
| Offense | Code | Offense Description | Crime Against |
| Bad Checks | 90A | Bad Checks | Property |
| Curfew/Loitering/Vagrancy Violations | 90B | Curfew/Loitering/Vagrancy Violations | Society |
| Disorderly Conduct | 90C | Disorderly Conduct | Society |
| Driving Under the Influence | 90D | Driving Under the Influence | Society |
| Drunkenness | 90E | Drunkenness | Society |
| Family Offenses, Nonviolent | 90F | Family Offenses, Nonviolent | Society |
| Liquor Law Violations | 90G | Liquor Law Violations | Society |
| Peeping Tom | 90H | Peeping Tom | Society |
| Trespass of Real Property | 90J | Trespass of Real Property | Society |
| All Other Offenses | 90Z | All Other Offenses | Person, Property, or Society |

Q6b. The NIBRS (or local) incident number of report detailing criminal incident information on subject.

This should be the same unique id that is used to track the incident in your agency's records management system, as well as the unique id used to report the incident to your state UCR Program.

This NIBRS incident number will be encrypted by the FBI if ever released outside the FBI.

Q7. Did the officer approach the subject(s)?

The purpose of this question is to help distinguish self-initiated law enforcement activities and contact from instances where the subject initiated contact with the law enforcement officer.

Q8. Was this an ambush incident?

An ambush is a situation where an officer is assaulted, unexpectedly, as the result of premeditated design by the subject, or where an unsuspecting officer was targeted or lured into danger as the result of conscious consideration and planning by the subject.

Q9. Was a supervisor or a senior officer acting in a supervisory capacity present or consulted at any point during the incident?

The purpose of this question is to assess whether a supervisor or another officer acting in a supervisory capacity provided feedback to the law enforcement response to this incident. The supervisor did not need to be present, but could have provided guidance over the radio or telephone.

Q10. Total number of officers who applied actual force during time of incident.

Include only those officers who were present at any time during the contact between officer(s) and the subject, and who directly engaged at least one subject with a use of force. This number should include any officer regardless of whether they are employed by your agency.

Q11. Number of officers from your agency who applied actual force during time of incident.

Include only those officers employed by your agency who were present at any time during the contact between officer(s) and the subject, and who directly engaged at least one subject with a use of force.

This number should be equal to or less than the number reported in Question 10.

Your agency will report information for each one of these officers. So, the number of officer segments will be equal to this number.

Q12. Total number of subjects who died or received serious bodily injury as a result of a law enforcement use of force, and/or law enforcement discharged a firearm at or in their direction.

This number should account for every individual who received serious bodily injury or died by the use of force by law enforcement.

In instances where law enforcement discharged a firearm at or in the direction of a person, this number should also include the numbers of the individuals in the line of fire. This can be individuals that are being directly engaged by law enforcement or bystanders.

Your agency will report information for each one of these subjects. So, the number of subject segments will be equal to this number.

Q13. If the incident involved multiple law enforcement agencies, please provide ORIs and case numbers for the local use-of-force reports at the other agencies.

There are instances when multiple agencies respond to an incident or are conducting a law enforcement activity. In these instances, you would only be responsible for reporting information on officers employed by your agency. However, it is still the intent of the national UCR Program to pull together information provided by other agencies to create a comprehensive picture of the single event. The inclusion of the ORIs and case numbers from the other agencies will assist in this effort.

This case number will be encrypted by the FBI if ever released outside the FBI.

Subject Information

Subject Sequence Number

This is used to uniquely identify each person in the incident that was the subject of a law enforcement use of force that resulted in a fatality, serious bodily injury of a person, or a weapon was discharged at or in his/her direction.

The definition of serious bodily injury is based in part on 18 USC 2246 (4) and means ***“bodily injury that involves a substantial risk of death, unconsciousness, protracted and obvious disfigurement, or protracted loss or impairment of the function of a bodily member, organ, or mental faculty.”***

Each subject can be assigned a number in sequence beginning with “1.”

Q14. Age of the subject at the time of incident

Please record the subject’s age in years old at the time of the incident.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q15. Sex of the subject

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q16. Race and ethnicity of the subject (select all that apply)

Multiple races or ethnicity can be selected.

Definitions:

American Indian or Alaska Native – A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American – A person having origins in any of the black racial groups of Africa. Terms such as “Haitian” or “Negro” can be used in addition to “Black or African American.”

Hispanic or Latino – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term “Spanish origin” can be used in addition to “Hispanic or Latino.”

Native Hawaiian or Other Pacific Islands – A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands, e.g., individuals who are Carolinian, Fijian, Kosraean, Melanesian, Micronesian, Northern Mariana Islander, Palauan, Papua New Guinean, Ponapean (Pohnpelan), Polynesian, Solomon Islander, Tahitian, Tarawa Islander, Tokelauan, Tongan, Trukese (Chuukese), and Yapese. (NOTE: The term “Native Hawaiian” does not include individuals who are native to the state of Hawaii simply by virtue of being born there.)

White – A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q17. Height of the subject (report actual or estimated range of values)

Please record the height of the subject in feet and inches. If actual values are unknown, a range of values estimating the height can be reported.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q18. Weight of the subject (report actual or estimated range of values).

Please record the weight of the subject in pounds. If actual values are unknown, a range of values estimating the weight can be reported.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q19. Was there an apparent or known impairment in the mental or physical condition of the subject?

Please answer *yes* if there is any indication that the subject was impaired by drugs, alcohol, or mental health condition. The purpose of this item is to describe behaviors exhibited by the subject during the events that led to the use of force by law enforcement. This information should indicate the perceptions of the officers, not the results of a medical report.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q19a. Please indicate which conditions apply (select all that apply)

If the answer to Q23 was *yes*, please mark all impairments in the mental or physical conditions that apply.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q20. Was the threat by the subject(s) directed to the officer or to another party?

The purpose of this question is to identify which party or parties the subject threatened just prior to the use of force.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q21. Did the subject resist the officer(s) or attempt to use a weapon against the officer(s)?

If the subject used, attempted to use a weapon, or exhibited an effort to resist the actions of the law enforcement officer(s) present who are listed under Q24a, record “yes.” The purpose of this item is to describe behaviors exhibited by the subject during the events that led to the use of force by law enforcement.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q21a What resistance or weapon was involved?

A weapon can generally include, but are not limited to, firearm; BB or pellet gun; knife; other cutting instrument or edged weapon; electronic control weapon; explosive device; blunt instrument; chemical agent (e.g. acid, gasoline, pepper or OC (oleoresin capsicum) spray, etc.). Under certain circumstances motor vehicles or other objects could also be considered weapons if used or displayed in a threatening manner. Please mark all categories that apply.

Passive Resistance is indicated when the subject is not complying with an officer’s commands and is uncooperative, but is taking only minimal physical action to prevent an officer from placing the subject in custody and taking control. Examples include: standing stationary and not moving upon lawful direction, falling limply and refusing to use their own power to move (becoming “dead weight”), holding onto a fixed object, or locking arms to another during a protest or demonstration.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q22. At any time during the incident, was the subject(s) armed or believed to be armed with a weapon?

The purpose of this item is to indicate if the subject was in possession of a weapon, regardless of whether the subject used the weapon in a threatening or assaultive way against either law enforcement officers or other persons.

A weapon can generally include, but are not limited to, firearm; BB or pellet gun; knife; other cutting instrument or edged weapon; electronic control weapon; explosive device; blunt instrument; chemical agent (e.g. acid, gasoline, pepper or OC (oleoresin capsicum) spray, etc.). Under certain circumstances motor vehicles or other objects could also be considered weapons if used or displayed in a threatening manner. Please mark all categories that apply.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q23. Type(s) of force used connected to serious bodily injury or death (Select all that apply)

The purpose of this question is to record any weapons or force used by law enforcement that were known or believed to have resulted in serious bodily injury or death of the subject. In addition, *firearm* should be recorded if it was discharged by an officer at or in the direction of the subject regardless of whether the subject was struck.

Multiple types of use of force used by law enforcement may be recorded.

Blunt instruments can include ASP, flashlight, baton, or other objects used to strike an individual.

Hands/fists/feet can include physical restraint and pressure points.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q24. What were the subject's injuries? (Select all that apply)

The purpose of this question is to record the injuries sustained by the subject as a result of the use of force by law enforcement.

Please record all gunshot wounds regardless of whether they are penetrating or grazing as gunshot wound.

Please record all instances of unconsciousness regardless of its duration or length of time.

Examples for *possible internal injury*

- Internal bleeding
- Brain damage
- Concussion
- Coma

- Paralysis

Examples for *Other Major Injury*

- Neck injury
- Eye damage
- Burns

If the subject sustained multiple injuries, please mark all that apply. If the subject died, death should be the only value recorded.

If information is unknown because the investigation is still incomplete, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Officer Information

Officer Sequence Number

This is used to uniquely identify each officer from your agency that applied force that resulted in a fatality, serious bodily injury of a person, or discharged his/her weapon at or in the direction of a person.

The definition of serious bodily injury is based in part on 18 USC 2246 (4) and means ***“bodily injury that involves a substantial risk of death, unconsciousness, protracted and obvious disfigurement, or protracted loss or impairment of the function of a bodily member, organ, or mental faculty.”***

Each officer can be assigned a number in sequence beginning with “1.”

Q25. Age of the officer at time of incident.

Please record the officer’s age in years old at the time of the incident.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q26. Sex of the officer

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q27. Race and ethnicity the of officer (select all that apply)

Multiple races or ethnicity can be selected.

Definitions:

American Indian or Alaska Native – A person having origins in any of the original peoples of North and South America (including Central America), and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent, including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American – A person having origins in any of the black racial groups of Africa. Terms such as “Haitian” or “Negro” can be used in addition to “Black or African American.”

Hispanic or Latino – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race. The term “Spanish origin” can be used in addition to “Hispanic or Latino.”

Native Hawaiian or Other Pacific Islands – A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands, e.g., individuals who are Carolinian, Fijian, Kosraean, Melanesian, Micronesian, Northern Mariana Islander, Palauan, Papua New Guinean, Ponapean (Pohnpelan), Polynesian, Solomon Islander, Tahitian, Tarawa Islander, Tokelauan, Tongan, Trukese (Chuukese), and Yapese. (NOTE: The term “Native Hawaiian” does not include individuals who are native to the state of Hawaii simply by virtue of being born there.)

White – A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q28. Height of the officer

Please record the height of the officer in feet and inches.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q29. Weight of the officer

Please record the weight of the officer in pounds.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q30. Officer’s years of service as a law enforcement officer (total tenure).

This number should include all years of service across multiple law enforcement agencies.

The current LEOKA definition of a law enforcement officer is as follows:

“All local county, state, and federal law enforcement officers (such as municipal, county police officers, constables, state police, highway patrol, sheriffs, their deputies, federal law enforcement officers, marshals, special agents, etc.) who are sworn by their respective government authorities to uphold the law and to safeguard the rights, lives, and property of American citizens. They must have full arrest powers and be members of a public

governmental law enforcement agency, paid from government funds set aside specifically for payment to sworn police law enforcement organized for the purposes of keeping order and for preventing and detecting crimes, and apprehending those responsible.”

Further guidance may be gleaned from the following criteria used by the LEOKA Program:

- Officers who meet all of the following criteria:
 - Wear/carry a badge (ordinarily)
 - Carry a firearm (ordinarily)
 - Be duly sworn and have full arrest powers
 - Be a member of a public governmental law enforcement agency and be paid from government funds set aside specifically for payment to sworn law enforcement
 - Be acting in an official capacity, whether on or off duty, at the time of incident
- Exceptions to the above-listed criteria:
 - Individuals who are serving as a law enforcement officer at the request of a law enforcement agency whose officers meet the current collection criteria
 - Special circumstances will be reviewed by LEOKA staff on a case-by-case basis to determine inclusion
 - Include military and civilian police and law enforcement officers of the Department of Defense (DoD), while performing a law enforcement function/duty, who are not in a combat or deployed (sent outside of the United States for a specific military support role mission) status. This includes DoD police and law enforcement officers who perform policing and criminal investigative functions while stationed (not deployed) on overseas bases, just as if they were based in the United States.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q31. Does the officer work full-time (35 or more hours per week)?

The current LEOKA definition of a law enforcement officer is as follows:

“All local county, state, and federal law enforcement officers (such as municipal, county police officers, constables, state police, highway patrol, sheriffs, their deputies, federal law enforcement officers, marshals, special agents, etc.) who are sworn by their respective government authorities to uphold the law and to safeguard the rights, lives, and property of American citizens. They must have full arrest powers and be members of a public governmental law enforcement agency, paid from government funds set aside specifically

for payment to sworn police law enforcement organized for the purposes of keeping order and for preventing and detecting crimes, and apprehending those responsible.”

Further guidance may be gleaned from the following criteria used by the LEOKA Program:

- Officers who meet all of the following criteria:
 - Wear/carry a badge (ordinarily)
 - Carry a firearm (ordinarily)
 - Be duly sworn and have full arrest powers
 - Be a member of a public governmental law enforcement agency and be paid from government funds set aside specifically for payment to sworn law enforcement
 - Be acting in an official capacity, whether on or off duty, at the time of incident
- Exceptions to the above-listed criteria:
 - Individuals who are serving as a law enforcement officer at the request of a law enforcement agency whose officers meet the current collection criteria
 - Special circumstances will be reviewed by LEOKA staff on a case-by-case basis to determine inclusion
 - Include military and civilian police and law enforcement officers of the Department of Defense (DoD), while performing a law enforcement function/duty, who are not in a combat or deployed (sent outside of the United States for a specific military support role mission) status. This includes DoD police and law enforcement officers who perform policing and criminal investigative functions while stationed (not deployed) on overseas bases, just as if they were based in the United States.

The definition of full time includes officers who work 35 or more hours per week.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q32. Was the officer on duty at the time of the incident?

The purpose of this question is to assess whether the officer was scheduled and had reported to work at the time of the incident.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q33. Was the officer readily identifiable by clothing or insignia?

“Yes” should be recorded if the officer was in uniform or wearing any other clothing or insignia that clearly identified the individual as a law enforcement officer.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q34. Did the officer discharge a firearm?

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q35. Was the officer injured?

The purpose of this question is to assess whether the officer sustained any injury as a result of his or her interaction with one or more of the subjects.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q35a. What were the officer’s injuries (select all that apply)

This question is only to be answered if the response to Q34 is *Yes*.

If the officer sustained multiple injuries, please mark all that apply. If the officer died, death should be the only value recorded.

Please record all gunshot wounds regardless of whether they are penetrating or grazing as gunshot wound.

Please record all instances of unconsciousness regardless of its duration or length of time.

Examples for *possible internal injury*

- Internal bleeding
- Brain damage
- Concussion
- Coma
- Paralysis

Examples for *Other Major Injury*

- Neck injury
- Eye damage
- Burns

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record *unknown and is unlikely to be known*.

Q35b. NIBRS (or local) incident number of report detailing assault or homicide of law enforcement officer.

This should be the same unique id that is used to track the incident in your agency's records management system, as well as the unique id used to report the incident to your state UCR Program.

This NIBRS incident number will be encrypted by the FBI prior to any release to the public.

If information is unknown because the officer is unavailable for interview or if the information should become available after investigation, record *pending further investigation*.

If the information is not known and is unlikely to ever be known, record, "unknown and is unlikely to be known."